

**CY 2012 ODA Portfolio Review**  
**Results of Review/Supervision Missions of Selected Projects**

Agency	Project	DP	Mission	Date	Rating/Findings	Recommendations/ Remarks
1 <b>BIR</b>	NPSTAR	WB	Supervision	27 Feb – 9 Mar	Needs improvement of its financial management capacity and identify timelines and accountable units/persons.	The priority areas required during this mission are: 1. The 2011 audited financial statements submitted to the WB not later than 6months after the end of each fiscal year; 2. Submission of semi-annual internal audit reports and action plan to strengthen the internal audit function; 3. Review physical targets and program resources as needed to ensure maximization of loan proceeds; 4. Review of accounting policies for cash advances as provided in the Project's Operations Manual; 5. Coordinate with BIR to ensure timely recording of transactions and of financial reports; 6. Review internal controls on the acquisition process to avoid negative cash balance and approval of travel expenses in compliance to EO 459; 7. Reconciliation of physical count with the accounting records; and FMU to review purchases made to Philcopy Corporation which were not included in the SOE submissions to the Bank.
2 <b>DA</b>	CHARMP2 CHARMP2	IFAD IFAD	Mid-Term Review Follow-up	16 Jan – 6 Feb 2012 31 Jul – 5 Aug 2012	<b>Unsatisfactory</b> - need implementation improvement to achieve its objectives. Changes in targets and re-organization of some sub-components are necessary to realign project's design and logical framework specifically the outcomes and outputs	DA requested for a change in scope in April 2012 which was approved in 18 January 2013 by the NEDA Board.
	RaFPEP	IFAD	Supervision Implementation	24 Sep -8 Oct 2012	<b>Moderately Satisfactory</b> in terms of fiduciary aspects, project implementation progress, outputs and outcomes, and sustainability.	To achieve , recommendations were : (a) timely completion of the CIS works, and (b) improving the M&E system to capture and report on the required information for proper M&E.
3 <b>DAR</b>	ARCP2	ADB	Review	Dec-12	<b>Actual Problem Project</b> - continued to experience delays in implementing its major activities [about more than 20 percent negative slippage]	
			Special Project Administration	30 May -29 Jun	<b>Actual Problem Project</b> No changes in disbursements between and 1Q/2012. Disbursement under the rural infra component is 9%, while time elapsed is already 50%. There were no disbursements under OFID loan.	
4 <b>DBP</b>	RPP-AF	WB	Review	5 - 14 Nov 2012	Closure of the loan in Dec 2012 as no new loans were committed. Cancellation of the undisbursed loan balance (US\$20 million). Preparation of the ICR on the first semester of 2013.	
5 <b>DENR</b>	NPS-ENRMP	WB	10th Imp. Review	11-20 Jun 2012	Substantial accomplishment in terms of project implementation and recommended possible scaling up of project activities.	1. Training for DENR and LGU staff to focus on: (i) Financial procedures for liquidation and replenishment of funds and (ii) Coaching and mentoring and communities on CBFM Modules under the ENRD Program 2. Identify exit strategy measures to enhance sustainability including the finalization of co-management agreements with LGUs. 3. Finalize the socio-economic baseline study and update the existing cost
	ICRMP	ADB	11th Imp. Review	4-14 Dec 2012		
		ADB	Loan Review	15-17 May 2012		
		WB	Loan Review	3-9 Oct 2012		
	LAMP 2	KfW	10th Imp. Support	9-20 Jan 2012		
	CBFMMP	KfW	Progress Review	22-24 Jun 2012		
			Mid Term Review	12-27 Nov 2012		

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						<p>studies of NRM and infrastructure projects;</p> <p>4.. Improve dissemination of communication of results and guidelines at all</p> <p>5. Possible reprogramming and reallocation of funds by the first quarter 2013</p> <p>6. DENR and LGUs should verify the capacity of LGUs to maintain and operate equipment properly and take necessary and adequate measures</p> <p>7. Extension of consulting services beyond 2013.</p>
6 DepEd	NPSBE/ SPHERE	WB/AusAID	10th Supervision Support	22 Aug - 11 Sep	<p>1. Improved management of school head on projects involving teachers, learners, parents and other stakeholders</p> <p>2. Institutionalization of revised policies and standards for undergraduate teachers curriculum resulted in 90% attainment of national competency based teacher standard-based pre-curriculum by the teachers education institutions</p> <p>3. Teachers' strengths, needs assessment results, as the basis of teachers' training resulted in better teachers' performance .</p> <p>4. There is increasing trend from 31% in 2010 to 43% in 2011 of alternative learning systems test takers of the Accreditation and Equivalency Program.</p> <p>5. Knowledge and awareness of IPs' culture and practices are crucial to be part of their education</p> <p>6. 4Ps impact shows a positive improvement in school enrolment.</p> <p>7. Bureau and regional offices realized that M&amp;E systems is essential in ensuring efficiency and effectiveness of programs projects.</p>	<p>1. Strengthen the capacity of the Department on planning, M&amp;E to achieve resource management.</p> <p>2. Strengthen the capacity and capability for education personnel at all levels training and technical assistance.</p> <p>3. Appropriate monitoring for all activities, projects and programs to ensure the achievements of all level objectives of the Department.</p> <p>4. Reproduction and distribution of the guidelines and manuals of salient and training courses to achieve uniform results and success in the</p>
7 DOH	HSDP	ADB	Final Loan review	14-26 Sep 2012	No rating given by mission	
8 DPWH	NRIMP II	WB	Mid-Term Review	(11-22 June 2012)	<p>1. The overall project implementation has significantly improved since the last supervision mission;</p> <p>2. The implementation of the Road Improvement Component is now ramping up, but critical issues on two corridors need close monitoring;</p> <p>3. There have been changes in the Road Asset Preservation Long-Term Maintenance Component;</p> <p>4. The Institutional and Capacity Development component is making headway from procurement delays with eight contract packages ongoing; and</p> <p>5. The project is proposed for restructuring in order to reflect the reality on the ground and the implementation delays.</p>	In view of the mission's findings, DPWH and WB will proceed with the restructuring of the project including the changes proposed by the DPWH knowing that in the event that NRIMP3 will not materialize, DPWH committed to provide GOP funds to continue the im
	NRIMP II		Supervision	5-16 Nov 2012	Significant implementation progress for all the components	<p>1. deletion of project activities that are no longer relevant;</p> <p>2. deferring some activities to the third phase of NRIMP (NRIMP3), if feasible;</p> <p>3. change in management to finance governance activities by the Bank under AusAID Grant instead of AusAID managing these activities directly;</p> <p>4. revision of the results framework and monitoring indicators to reflect the changes proposed; and</p> <p>5. extension of closing date by 24 months from 31 Dec 2012 to 31 Dec 2014</p> <p>However, facing delay in the submission of the formal restructuring request to WB, the mission expressed its concerns for the completion of the restructuring process before the closing date and urged the DPWH to make all the arrangements to the formal request to the Bank on or before 30 November 2012. (Note: from the of the GPH, the restructuring process was completed on time; DOF endorsement</p>

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						DPWH request was sent to WB on 23 November 2012.)
	MRIP	Saudi Fund for Development (SFD)	Supervision	7-12 Jul 2012	<p>1. The SFD mission was informed that the DPWH Secretary decided to forego with the request for loan extension during the ICC Cabinet Committee deliberation on 3 July 2012. The mission expressed concerns on this, stating that it might have negative implication.</p> <p>2. DPWH informed also the SFD mission that MGB Construction &amp; Trading (MBGCT) was claiming for payment of alleged standby equipment, manpower and profit for the cancellation of award CP1A and termination of CP 3A and 3B. DPWH reported that there no signed contract for CP 1A and the Bank Guarantees submitted MBGCT were not authentic.</p>	SFD mission advised that Retention money should be deducted from Progress Billing ranging from 5-10 percent and for DPWH to endorse the Bank Guarantees posted as performance securities to be irrevocable.
9 DTI	RUMEPP	IFAD	6th Supervision and Implementation (SIS) Mission (Jointly conducted and IFAD)	3-17 Sep 2012	<p><b>Satisfactory.</b> The programme is likely to accomplish the remaining targets included in the revised logical framework.</p> <p>1. There are delays in meeting convergence targets brought about lack of awareness among some of the provincial branches of MFIs. MFI provincial branches are unable to commit their to immediately receiving BDS assistance. Internal MFI policy provincial branches to first seek clearance from their head office.</p> <p>2. Demand for institutional loans among SBC accredited MFIs is low.</p> <p>3. On M&amp;E. Reporting remains focused on outputs and is weak in of outcomes as identified by the previous SIS mission.</p> <p>4. Many MEs will require further assistance in terms of capacity building and developing market links after RuMEPP ends in 2013.</p> <p>5. Delays in fund releases.</p>	<p>1. Implement Track 1 mode for convergence; RuMEPP Programme Officers to SBC when an MFI provincial branches refuses to allow their borrowers to BDS. SBC head office to coordinate and inform the MFI head office of the convergence activities .</p> <p>2. Continue marketing the institutional loan to existing SBC accredited MFI clients and to MFIs in the RuMEPP provinces that are not yet SBC clients.</p> <p>3. Use the unused allocation for institutional credit to provide additional financing on-lending by MFIs and amend the subsidiary financing agreement between SBC.</p> <p>4. Use the revised logical framework indicators for Components 1 and 3 when reporting programme's results.</p> <p>5. Include in the 2012 Annual Report and in the 2012 RIMs Report Data on outcomes and progress towards achieving programme's objectives.</p> <p>6. Develop asustainability plan (exit strategy) with the focus on ensuring that the already assisted can sustain their activities beyond 2013, based on a review strategies implemented, overall performance, lessons learned and other sources of assistance. Include relevant activities for 2013 in the AWPB for 2013.</p> <p>7. Reduce the IFAD processing time for a WA to 10 days in line with the directive the IFAD Controller, and write to the government to reduce the time for (29.8 days average) of notices in the Bureau of Treasury</p>
10 LBP	SSLDIP	World Bank	Supervision	6-9 Feb 2012	<p>1. Proposed time extension until February 2014 and reallocation of proceeds would not have any impact on the project's objective, main outcome and design. The proposed changes vis- reallocation of loan proceeds will not create changes in fiduciary safeguards arrangements as designed;</p> <p>2. The project will need about 20 months to complete all projects and is sufficient to address potential delays in subproject processing and construction and the possible impact of the May election.</p> <p>3. Procurement of the Project Implementation Consultant as the consultant can no longer extend its services by the end of its because it is barred from participating in WB funded projects.</p>	
11 LLDA	LISCOP LISCOP	WB WB	Implementation Implementation	6-17 Feb 2012 24 Sep – 11 Oct	<p>1. It was reported that with two years remaining for LLDA to the project, there is still an uncommitted funds amounting to US\$5.5 million which is unlikely to be utilized if not committed December 2012.</p> <p>2. It was cited that LGUs were not availing of LISCOP financing due</p>	<p>1. To facilitate utilization of loan funds, the mission recommended for that restructuring of the loan by relaxing the NG-LGU financing scheme. The involves the revision of the NG-LGU cost sharing scheme from the existing 80% 90% NG (consis(consisting of subloan and subgrant sourced from the WB loan proceeds) depending on the subproject category and 10% to 20% LGU</p>

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					various reasons including (i) difficulty in securing Sangguniang endorsement in an election year, (ii) new policy and more requirements in securing the certificate of borrowing capacity BLGF for LGUs that do not yet have the Seal of Good (iii) the availability of grants and more attractive financing from sources; (iv) lack of support from the project for the preparation feasibility studies and detailed architecture design.	80% full grant from NG and 20% LGU equity. Said request was endorsed to for consideration as the existing financing mix being followed by the project approved by the MDFO-PGB. 2. The proposed restructuring intended make the project more attractive to compared with other financing schemes available to them. This would LGUs to implement non-revenue generating projects that have significant environment/ economic benefits which normally are not priority projects their limited resources. 3. Given that this will be provided as a grant from the NG, the new scheme is to facilitate subproject approvals as there will be no need to secure a borrowing capacity from the BLGF, a requirement which slows down the processing of subprojects. Also, since LGUs need not borrow/take out a loan will be easier to seek endorsement from the Sangguniang Bayan
12 <b>MWSS</b>	AWUAIP-II	MWSS, NEDA-PM S MWSI MWCI Consultants Contractors	Final Inspection	18 Sep 2012.	The activity commenced with an orientation on the details and background of the Project, and proceeded with visits on the sites: (a) Bigte Interconnection in Norzagaray, Bulacan; (b) Tunnel No. 2 in San Jose Del Monte, Bulacan; (c) Tunnel No. 2 Facilities (e.g. Basins, Sluice Gates, etc.) are generally in good working condition, owing to the Project's recent completion.	
13 <b>NIA</b>	1. PIDP 2. PIDP	WB WB	Implementation Implementation	4-15 Jun 2012 5-16 Nov 2012	Unsatisfactory Unsatisfactory 1. Substantial accomplishment was achieved in terms of NIA's Rationalization Plan, the Civil Works component, which comprises percent of total project cost, registered a very low level of accomplishment. 2. Delays were primarily due to limited manpower and The current PMO employs only 19 personnel, which is only 32% of required 59 personnel to ensure efficient project implementation. 3. A PhP446 million or approximately US\$10 million funding gap continues to be a critical issue in the completion of the NIA Rat December 2012.	1. The team advised NIA to submit additional documents to DBM to substantiate request for additional ten positions, and to engage in a short-term consultant assist the PMO in the procurement processing; 2. NIA to submit the necessary documents to DBM to justify why their Corporate Funds cannot be used to cover payment of the remaining retirement
14 <b>SC</b>	Judicial Reform Support Project	WB	Implementation	25 Jun -7 Jul 2012	No Rating	The next steps agreed upon during the mission were fiduciary in nature with completion dates within the months of August and September 2012, in addition, will submit: (i) verified project results information as of 30 June 2012; (ii) upload relevant JRSP information to Supreme Court website; and (iii) SC to arrange stakeholder consultation in coordination with the WB team. (SC to provide status actions per mission/review agreements.)