

**CY 2012 ODA Portfolio Review
Recommendations for CY 2013 and Beyond for Implementing Agencies**

| Issue (Project) | Recommendation / Action Plan | Timelines | Responsibility Center |
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| ARMM Regional Government (ARG) | | | |
| Sustainability | Sustainability of completed projects remains to be the issue with the local elections in 2013. There is a need to secure legislative/institutional support from the Sangunian Bayans of the municipalities and barangay resolutions from the barangays covered by the project. | ASAP | ARG |
| Bureau of Internal Revenue (BIR) | | | |
| Delayed delivery of outputs | Stricter quality control measures, i.e., more rigid NPSTAR monitoring/evaluation as it is already nearing loan closing to ensure most of the target outputs are completed and use of loan proceeds maximized. | ASAP | BIR |
| Delayed delivery of outputs | Tighter sanctions/penalties for contractors for delays in deliverables. | ASAP | BIR |
| Department of Agriculture (DA) | | | |
| Completed outputs damaged in 2012 (MRDP2) | After completion of the damage assessment by the RPCO, prepare a concrete Action Plan on measures to be taken concerning project outputs damaged by typhoon Pablo. | ASAP | DA/RPCO |
| Delayed implementation due to NG-LGU cost sharing issue (CHARMP2) | Fast track implementation of the RID component given the one year extension granted by the ICC. | ASAP | DA/PMO |
| Delayed implementation due to NG-LGU cost sharing issue (CHARMP2) | Closely monitor the project's Action Plan and projected loan disbursement schedule. | 2013-2014 | DA |
| Delayed completion of consultant's output (MIS database) (RaFPEP) | As recommended by the IFAD SIS Mission in September to October 2012, populate and complete the database for RaFPEP | ASAP | DA/RaFPEP |

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| Closed loan with incomplete outputs (InFRES) | Closely monitor the implementation and completion of the remaining works which are expected to be completed by April 2013. | until April 2013 | DA/InFRES |
| Department Of Agrarian Reform (DAR) | | | |
| LGU-related issues (ARCP2) | For DAR to swiftly finalize which of the following options shall be pursued: (a) synchronize closing date of OFID loan (31 December 2013) with ADB loan (30 June 2015); (b) application of 90-10 NG-LGU cost sharing scheme for subprojects pipelined for 2013-2014; and (c) request for change in project scope if disbursement levels will not improve. | ASAP | DAR, ADB, OFID |
| Technical adjustments and changes in physical quantities of bridging materials and process of confirmation in the prioritization of bridge sites (TPKP) | Fast track the completion of bridge construction within the target period. | 2013 to February 2014 | DAR , DPWH, and Matierre |
| LGU-related issues (potential issue as these are newly-effective projects) (ARCDSP and MinSAAD) | Based on DAR's experience in implementing ARCP2, the Department must take aggressive measures in resolving LGU-related issues particularly with the implications of the results of 2013 elections. | 2013 and beyond | DAR |
| Development Bank of the Philippines (DBP) | | | |
| Delayed sub-loans approval | Coordinate with DOF-CAG and BSP to reduce processing time of borrowers compliance to the Monetary Board Opinion Requirement | ASAP | DBP |
| Slow releases of funds | Explore options to fast track loan releases of approved subprojects | ASAP | DBP |
| PCR compliance | Submit Project Completion Report of the RPP-AF. | July 2013 | DBP |
| Department Of Environment and Natural Resources (DENR) | | | |
| Delayed implementation of activities due to late engagement of consultants resulting from long | Facilitate resolution of issues concerning implementation of components A (IEC and PHC); and D (Social and Environmental Services and Facilities) to ensure completion of planned activities | 2013 | DENR |

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| procurement process. (ICRMP) | by proposed revised closing date of June 2014. | | |
| Slow submission of liquidation reports from concerned units/offices within DENR. (NPSENRMP) | FASPO and other oversight offices to closely monitor the progress of preparation of liquidation reports of the concerned units and provide constant reminders, if necessary. | 2013 | DENR |
| Delayed seedling production activities due to tedious procurement process, pre-production requirements and severe drought in project areas (NPSENRMP) | National Greening Program (NGP)-National Coordinating Office, FMB and FASPO to continue to provide assistance to the Regions and coordinate with CSOs involved in the NGP in identifying bottlenecks that are causing delays in seedling production | 2013 | DENR |
| Need to strengthen capabilities of project staff on Financial Management and M&E (CBFMMP) | Training for DENR and LGU staff to focus on: (a) Financial procedures for liquidation and replenishment of funds (b) Coaching and mentoring of POs and communities on CBFM Modules under the ENRD Program | 2013 and beyond | DENR, LGU, GIZ, KfW |
| Sustainability(CBFMMP) | Identify exit strategy measures to enhance sustainability including the finalization of co-management agreements with LGUs | 2013 | DENR, KfW |
| (CBFMMP) | Finalize the socio-economic baseline study and update the existing cost benefit studies of NRM and infrastructure Projects; | 2013 | DENR |
| Reporting on results(CBFMMP) | Improve dissemination of communication of results and guidelines at all levels; | 2013 and beyond | DENR, KfW |
| Sustainability (CBFMMP) | DENR and LGUs should ensure that LGUs are able to operate and maintain equipment/machineries provided by the project. | 2013 and beyond | DENR |
| Department of Education (DepEd) | | | |
| Lack of baseline and end-of-project targets of some ongoing programs and projects | Ensure availability of baseline figures, realistic target-setting and implementation monitoring | ASAP | DepEd OP-PDED; DepEd EDPITAF |

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| Lack of unified data on available grant stock | Conduct inventory of existing ODA –assisted projects to determine gaps, redundancies and overlaps of completed and ongoing projects | ASAP | DepEd OP-PDED; DepEd EDPITAF; NEDA-PMS |
| Lack of unified data on available grant stock | Converge/ coordinate with other offices inside DepEd of a unified M&E system to cover all existing projects and programs regardless of funding sources | ASAP | DepEd |
| Irregular Reporting | Strengthen coordination with various development partners to regularly provide monitoring reports | ASAP | DepEd OP-PDED; DepEd EDPITAF/ DPs |
| Irregular Reporting | Strictly comply with regular submission of status reports to NEDA-PMS (quarterly for loans; semestral for grants) | ASAP | DepEd OP-PDED; DepEd EDPITAF |
| Irregular Reporting | Trim down project indicators for ease of monitoring i.e., focus on key indicators of project final outputs/ deliverables | ASAP | DepEd OP-PDED |
| Department of the Interior and Local Government (DILG) | | | |
| Irregular Reporting | For the PMOs to track all the ODA loan and grant projects implemented by DILG and submit regular reports on their accomplishments and outputs. | ASAP | DILG OPDS DILG Planning Service |
| Irregular Reporting | For DILG Central Office to strengthen its M&E of FAPs and including the improvement if its information. | ASAP | |
| Department of Energy (DOE) | | | |
| Low loan disbursement (PEEP) | Closely monitor the financial aspects of the project. | ASAP | DOE |
| Delayed submission of report (PEEP) | Continue submission of quarterly progress report of ongoing projects in order to facilitate issues during implementation. | ASAP | DOE |
| PCR compliance | Preparation/Submission of Project Completion Reports (PEEP) and two grants: Philippine-Japan for Introduction of Clean Energy Using Solar Power Generating System | ASAP | DOE |
| Department of Science and Technology (DOST) | | | |
| Irregular Reporting | DOST and attached agencies to submit regular reports of their ongoing projects' accomplishments and outputs. | ASAP | DOST and attached agencies |

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| Department of Transportation and Communication (DOTC) | | | |
| Issues with Site Acquisition (CNS/ATM) | DOTC-CAAP is enjoined to exert the necessary efforts to ensure the immediate resumption of implementation to facilitate completion of the project, taking into consideration project milestones it has committed to achieve, in order to finally realize the long delayed project benefits accruing to an upgraded CNS/ATM Systems. | ASAP | DOTC |
| Procurement Delays (LADP) | DOTC-CAAP to fast-track completion of procurement and installation of the needed equipment to realize the project objectives. | ASAP | DOTC |
| Cancellation of Project (GMA Ports Project) | DOTC to fast-track finalization of the amended contract if proposed substitute project is approved, and immediately start implementation. | ASAP | DOTC |
| Cancellation of Project (Northrail Project) | NLRC to complete valuation of the work done by the contractor to determine its counter claims relative to the arbitration proceedings. Completion of the study of the new/redesigned project is likewise recommended. | ASAP | |
| Department of Public Works and Highways (DPWH) | | | |
| Possible change in scope (SNRDP) | DPWH Planning/MCC-PMO to immediately report to ICC the divergence in the project details which reflects the change in project scope from the original ICC approval. | 2013 | DPWH Planning/ MCC-PMO |
| Change in financing from ODA to local following DPWH deferment of its request for Supplemental Loan (BC/RP) | DPWH to ensure that the necessary local funds required for the turn-key construction of the 43 bridges, which were supposed to be funded by the Supplemental loan, are included in the DPWH budget | June 2013 | DPWH/Special Bridges PMO |
| Pending submission of RDC endorsement for additional subprojects (POPSTIRP) | With the recent approval of ICC on its request to include the additional 15 subprojects, DPWH to provide NEDA a copy of the RDC endorsement for the additional roads and bridges subproject once secured. | June 2013 | DPWH/BOD |

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| Prolonged procurement (CLLEX, RUPP, BSAAR) | <p>DPWH to fast-track the procurement process/project implementation since prolonged procurement already delayed the projects.</p> <p>For CLLEX, DPWH to decide on the Failure of Bidding issue and to respond to JICA's request.</p> <p>For BSAAR, once loan extension is approved by the ICC and KEDCF, DPWH to ensure better implementation to avoid further major issues/bottlenecks that needs ICC approval.</p> | 2013 | DPWH/PJHL-PMO DPWH/KEDCF-PMO |
| Incomplete outputs for local funding (RRNDP III, MRIP, BC/RP, POPSTIRP, MBURDP) | <p>For MBURDP, with the closure of the loan in September 2013, DPWH to expedite loan disbursements to maximize utilization, and to secure the necessary budget cover to fund the 20 percent works on flyover construction foreseen to spill over until 2014.</p> <p>For RRNDP III, MRIP, BC/RP, MBURDP & POPSTIRP to continue reporting on project components being/expected to be implemented using local funds.</p> | 2013 2013 | DPWH Planning/ FABP-PMO Concerned DPWH PMOs |
| Flooding at completed road sections (RRNDP III) | DPWH to study the recommendation made by the RPMC-CARAGA on the flooding along Esperanza-Bayugan road and provide the necessary action to be taken on the issue raised. | 2013-2015 | DPWH/ RRNDP-PMO |
| Compliance with approved timelines and ensuring the Project's sustainability | For PMRCIP-II, to complete all physical deliverables under PMRCIP-II within the original time scope of August 2013 since DPWH request for additional scope and cost increase was approved by the ICC-Technical Board on 21 November 2012. Furthermore, since overall physical accomplishment (including the new scope) is at 98% as of December 2012, DPWH should implement an effective exit strategy to ensure that proper O&M institutional arrangements | 2013 | DPWH/Flood Control |

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| | are in place. | | |
| Compliance with approved timelines and ensuring the Project's sustainability | For PHUMP-III, to complete the procurement process of Contract Packages 1 and 7 that covers road raising and the construction of local drainage channels and bridges. Furthermore, DPWH should facilitate the signing of a MOA with the concerned LGUs for the future O&M of project structures. | April 2013 | DPWH/Flood Control |
| Operations and maintenance of project structures | With the upcoming local election in May 2013, DPWH to closely coordinate with the concerned LGUs with regard the O&M portion of completed projects. | June 2013 | DPWH PMOs |
| Department of Social Welfare and Development (DSWD) | | | |
| Irregular Reporting | Strictly comply with regular submission of status reports to NEDA-PMS (quarterly for loans; semestral for grants) | ASAP | DSWD-Planning |
| Department of Trade and Industry (DTI)/ Small Business Corporation (SBC) | | | |
| Budgetary issues | Ensure availability of budget in 2013. | ASAP | PMO |
| Monitoring and evaluation | Considering that physical targets have already been achieved/ surpassed for the MCS and MEPD components, DTI and SBC are enjoined to strengthen tracking of RuMEPP's emerging outcomes. | ASAP | PMO |
| Sustainability of microenterprises | Continue to market institutional loans to MFIs, so they are able to sustain and strengthen operations and continue to provide MEs with financial services. | ASAP | SBC |
| Sustainability of microenterprises | RuMEPP should ensure continued assistance especially to Level 1 MEs in facilitating linkage with Level 2 and 3 MEs, MFIs and other institutions. | ASAP | PMO |
| Sustainability of microenterprises | In the last year of its implementation, RUMEPP should focus on strengthening institutional structures so MEs assisted by the project will remain viable institutions and able to further access other sources of assistance from government and/or other entities, even after the programme ends. | ASAP | PMO |

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| Land Bank Of The Philippines (LBP) | | | |
| Possible Extension (CLEECP) | LBP to immediately decide on the possible restructuring of the project (i.e. further extension of the loan closing date and possible change in scope) as the CLEECP loan is already closing in June 2013. | ASAP | LBP |
| Possible Extension (S2LDIP) | Consider a more realistic targeting in terms of schedule/duration of project implementation. Since the loan validity is already on its extension period, there is a need to revisit timelines of subprojects implementation to avoid further extension of the loan validity. Coordinate with DOF-CAG and BSP to reduce processing time of borrowers' compliance to the Monetary Board Opinion Requirement. | June 2013 | LBP |
| (MTSP and LIP II) | Submit Project Completion Reports. Lessons learned and outputs of completed projects should be fully documented. | ASAP | LBP |
| Laguna Lake Development Authority (LLDA) | | | |
| Restructuring of the loan to improve disbursements and maximize the use of loan funds | Continuous follow-up with MDFO for PGB action on the proposed revision of the NG-LGU financing scheme | 2 nd Semester 2013 | LLDA/MDFO |
| Changing priorities of LGUs | Coordination with LGUs should be further strengthened and assistance in project preparation should be extended to them to ensure that subproject proposals are approved on time and complete implementation by closing date. | 2013-2014 | LLDA |
| Local Water Utilities Administration (LWUA) | | | |
| For possible replication and sharing of good practices by other agencies and projects. | Document good practice(s) and lessons learned in project implementation /SWDIP | 2013 | WDG for specific areas |
| Reporting on results and | Post evaluation /SWDIP | 2013 (Two | WDG for specific |

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| comprehensive documentation of lessons learned and emerging outcomes | | years after project completion) | areas with assistance from WDs |
| Sustainability | Implementation of non-structural measures such as security measures, signing of MOAs, monitoring and surveillance system/SWDIP | 2013 | TAD and WDs |
| Delayed implementation | Implementation of succeeding activities such as the procurement of civil works and construction of water supply subprojects/PTWSSP-III | 1 st – 2 nd quarter of 2013 | WDG for specific areas and concerned WDs |
| Delayed implementation | Conduct of capacity building activities for WDs staff/PTWSSP-III | 1 st – 2 nd quarter of 2013 | TAD |
| Possible extension | Drafting and eventual submission to the NEDA Secretariat of a detailed proposal for loan extension/PTWSSP-III | 2 nd quarter of 2013 | WDG |
| Metropolitan Waterworks and Sewerage System (MWSS) | | | |
| For possible replication and sharing of good practices by other agencies and projects. | Document good practice(s) in project implementation /AWUAIP-II | 2013, and 2014 (as the year when the next round of GPA shall be conducted) | EPMD (specifically PMD) |
| Comprehensive documentation of lessons learned and thorough assessment of project outcomes/impacts | Consider the conduct of post evaluation/AWUAIP-II | 2015 (Two years after project completion) | EPMD (specifically PMD), RO, Concessionaires |
| Sustainability | Regular inspection of constructed facilities/AWUAIP-II | 2013 | EPMD, RO, Concessionaires |
| Reporting on results - Considering that the Aquino | Regular monitoring of results emerging from the Project/AWUAIP-II | 1 st -2 nd quarter of 2013 | EPMD, RO, |

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| Administration is nearing its midterm, MWSS should start collecting data on emerging project results for the eventual assessment of its contribution to indicators stipulated in the PDP-Results Matrices | | | Concessionaires |
| Complementing the gains accruing from the AWUAIP-II and further ensuring water security in Metro Manila | ICC Processing of the following projects identified in the Water Security Legacy (WSL) Roadmap: (a) New Centennial Water Source Project-Construction of Multi-Purpose Dams; (b) Bulacan Bulk Water Project; and (c) BNAQIP | Within 2013 | EPMD with inputs from the Concessionaires, NEDA Secretariat, and possibly the PPP Center of the Philippines (Since these Projects are currently proposed for implementation under the PPP scheme) |
| Complementing the gains accruing from the AWUAIP-II and further ensuring water security in Metro Manila | Completion of procurement of the following projects identified in the WSL: (a) ROM; and (b) ADDSP | 1 st quarter of 2013 | EPMD |
| National Irrigation Administration (NIA) | | | |
| Others - Pending Requests (ARIIP) | Decide whether to pursue the requests for change in scope, cost increase and loan validity extension | Before June 2013 | DA/NIA/PMO |
| Others – Completed loan with incomplete outputs (HCAAP) | Draw up an Action Plan on the completion of the remaining works which shall be funded by GOP funds. | ASAP | DA/NIA |
| Prolonged Procurement (PIDP) | Fast-track implementation especially on the Civil Works component. | ASAP | NIA |
| Others- Funding Gap | Resolve the issue on the Rat Plan funding gap | ASAP | NIA |

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| (PIDP) | considering that the Rat Plan should be completed by December 2012. | | |
| Others – Insufficient Manpower (PIDP) | Follow-up with the DBM the requested additional ten positions for the PMO. | ASAP | NIA |
| Others – Institutional (PIDP) | Determine next steps after the NIA Rat Plan. | ASAP | NIA |
| Start-up Delay (NIS RIP) | Comply with DBM requirements for requested plantilla positions and expedite filling up of key PMO personnel. | ASAP | NIA |
| Supreme Court (SC) | | | |
| Compliance with WB recommendations in its June to July 2012 mission. | SC will submit: (i) verified project results information as of 30 June 2012; (ii) upload relevant JRSP information to Supreme Court website; and (iii) SC to arrange further stakeholder consultation in coordination with the WB team. | ASAP | SC – PMO, FMBO |
| Adoption of an effective exit strategy | Sustainability and accounting of lessons learned | ASAP | SC |