

**List of Actual Problem Projects  
As of December 2021**

Loan ID	Project details Project title/DP/IA	Past quarters AM status 4Q 2020	Alert indicators breached										# of ind. categories breached	AM status as of 4Q 2021		
			1a	1b	1c	1d	1e	2a	2b	3a	3b	Initial AM status		4a	Final AM status	
Actual problem projects (Loan)- Alert level II (Critical) - 27																
1 2000001258	Fisheries, Coastal Resources and Livelihood Project (FishCORAL)/IFAD/DA	APP II	✓	✓	✓				✓				2	APP II	✓	APP II
2 2000001259	Convergence on Value Chain Enhancement for Rural Growth and Empowerment (Project ConVERGE)/IFAD/DAR	PP				✓	✓	✓	✓				2	APP II	✓	APP II
3 PH-P248	Forestland Management Project/JICA/DENR	APP II	✓			✓		✓	✓				2	APP II		APP II
4 2957-PHI	Integrated Natural Resources and Environmental Management Project/ADB/DENR	APP II		✓	✓				✓				2	APP II	✓	APP II
5 8444-PH, CPH 1007 02 R, 017646-PH	Cebu Bus Rapid Transit Project/WB, France (AFD)/DOTR	APP II			✓	✓			✓	•	✓		3	APP II*	•	APP II*
6 4043-PHI, 8391-PHI	EDSA Greenways/ADB/DOTr	Newly signed APP I			✓				✓	✓			3	APP II	✓	APP II
7 87280-PH, TFA2129-PH	Metro Manila Bus Rapid Transit Line 1 Project/WB/DOTr	APP I	✓			✓		✓					2	APP II*	✓	APP II*
8 PHL-19	New Cebu International Container Port Project/KEDCF/DOTr	APP II	✓		✓	✓			✓		✓		3	APP II*	✓	APP II*
9 PH-P263	Maritime Safety Capability Improvement Project for the Philippine Coast Guard Phase II/JICA/DOTr	APP II				✓			✓				2	APP II	✓	APP II
10 PH-P262	North-South Commuter Railway Project (Malolos-Tutuban aka N1)/JICA/DOTr	APP II				✓		✓	✓		✓		3	APP II	✓	APP II
11 PH-P269	Metro Rail Transit Line 3 Rehabilitation Project/JICA/DOTr	APP I	✓		✓		✓			✓			2	APP II*		APP II*
12 PH-P261 and PH-P273	Davao City Bypass Construction Project (DCBCP)/JICA/DPWH	APP II	✓		✓	✓	•	•	✓	•	•		2	APP II	✓	APP II
13 PH-P260; PHP-P272	Metro Manila Priority Bridges Seismic Improvement Project (MMPBSIP)/JICA/DPWH	APP II	•	•	•	✓	•	•	✓	•	•		2	APP II		APP II
14 3631-PHI	Improving Growth Corridors in Mindanao Road Sector Project (IGCMRSP)/ADB/DPWH	PP	•	•	•	✓	•	•	✓	•	•		2	APP II		APP II
15 3769-PHI	Reconstruction and Development Plan for Greater Marawi-Stage 2 (Loan title: Emergency Assistance for Reconstruction and Recovery of Marawi-Component 2)/ADB/DPWH	NP	✓	•	✓	✓	•	•	✓	•	•		2	APP II	✓	APP II
16 PH-F-P1	Road Network Development Project in Conflict Affected Areas in Mindanao/JICA/DPWH	PP	✓	•	✓		•	•	✓	•	•		2	APP II		APP II
17 PH-P249	Central Luzon Link Expressway (CLLEX), Phase I/JICA/DPWH	APP I			✓				✓				2	APP II	✓	APP II
18 PH-P258	Metro Manila Interchange Construction Project, Phase VI (MMICP VI)/JICA/DPWH	APP II	✓		✓	✓			✓				2	APP II	✓	APP II
19 PHL-14	Samar Pacific Coastal Road Project (SPCRP)/KEDCF/DPWH	APP II	✓		✓				✓		✓		3	APP II	✓	APP II
20 PH-P266	Arterial Road Bypass Project III (ARBP III)/JICA/DPWH	APP II	✓		✓		✓		✓				2	APP II	✓	APP II
21 PHL-17	Integrated Disaster Risk Reduction and Climate Change Adaptation Measures in Low-Lying Areas of Pampanga Bay (IDRR-CCA)/KEDCF/DPWH	APP II				✓					✓		2	APP II	✓	APP II
22 2000002109	Rural- Agro Enterprise Partnership for Inclusive Development and Growth/IFAD/DTI	APP II	✓	✓	✓	✓			✓					APP II		APP II
23 3389-PHI	Water District Development Sector Project/ADB/LWUA	APP II	✓	✓	✓	✓		✓	✓				2	APP II		APP II

Loan ID	Project details Project title/DP/IA	Past quarters AM status 4Q 2020	Alert indicators breached										# of ind. categories breached	AM status as of 4Q 2021		
			1a	1b	1c	1d	1e	2a	2b	3a	3b	Initial AM status		4a	Final AM status	
24 CHI-8	Chico River Pump Irrigation Project/China/NIA	APP I				•	•	✓	✓		✓		2	APP II	✓	APP II
25 PH-P254	National Irrigation Sector Rehabilitation and Improvement Project (NISRIIP)/JICA/NIA	APP II	✓	•			•	✓	✓	•	•		2	APP II	✓	APP II
26 PHL-15	Jalaur River Multipurpose Project, Stage II/KEDCF/NIA	APP II	✓		✓		•		✓	✓			3	APP II		APP II
27 3878-PHI	Capacity Building to Foster Competition Project/ADB/PCC	APP I	✓						✓				2	APP II**		APP II**
Actual problem projects (Grant)- Alert level II (Critical) - 2																
28 Grant	Access to Sustainable Energy Programme (ASEP)	APP II		✓		✓		✓	✓				2	APP II	✓	APP II
29 Grant	Two China Aid Bridges Project (Binondo-Intramuros Bridge Project & Estrella-Pantaleon Bridge Project)/China/DPWH	APP II		✓		✓			✓				2	APP II	✓	APP II
Actual problem projects (Loan)- Alert level I (Early warning) - 6																
30 8421-PH	Philippine Rural Development Project (PRDP)/WB/DA	No assessment	✓	✓									1	PP	✓	APP I
31 PHP-270, 3796-PHI	North-South Commuter Railway Extension Project (Clark Extension)/JICA,ADB/ DOTr	NP							✓				1	PP*	✓	APP I*
32 PH-P247	Road Upgrading and Preservation Project (RUPP)/JICA/DPWH	APP II							✓				1	PP	✓	APP I
33 9168-PH (2)	Beneficiary First Social Protection Project - Component 2/WB/DSWD	Newly signed	✓					✓	✓				2	APP I		APP I
34 9191-PH	KALAHI-CIDSS National Community-Driven Development Project Additional Financing/WB/DSWD	Newly signed	✓		✓				✓				2	APP I		APP I
35 I-890-PH	Integrated Natural Resources and Environmental Management Project (Irrigation Project)/IFAD/NIA	APP II	✓		✓	✓							1	PP	✓	APP I
Potential problem projects (Loan) - 23																
36 91710-PH	Philippines Customs Modernization Project/WB/BOC	Newly signed	✓										1	PP		PP
37 2000001773	Scaling-Up of the Second Cordillera Highland Agricultural Resource Management Project (Scaling-Up of CHARMP2)/IFAD/DA	APP II	✓										1	PP		PP
38 91410-PH	Support to Parcelization of Lands for Individual Titling (SPLIT) Project/WB/DAR	Newly effective	✓											PP**		PP**
39 Italy-1	Italian Assistance to the Agrarian Reform Community Development Support Program (IARCDSP)/Italy/DAR	APP II			✓	✓	✓						1	PP		PP
40 9105-PH	Philippines COVID-19 Emergency Response Project/WB/DOH	NP	✓										1	PP		PP
41 9220-PH	Philippines COVID-19 Emergency Response Project - Additional Financing (AF)	-	✓										1	PP		PP
42 PH-P255	Capacity Enhancement of Mass Transit Systems in Metro Manila - LRT Line 1 South Extension/JICA/DOTr	APP II			✓	✓	✓						1	PP*		PP*
43 PH-P257	Maritime Safety Capability Improvement Project for the Philippine Coast Guard Phase I/JICA/DOTr	Completed***						✓					1	PP		PP
44 CHI-10	Philippine National Railways (PNR) South Long Haul Project/ Project Management Consultancy of the Philippine National Railways (PNR) South Long Haul Project/ China/DOTr	PP			✓	✓							1	PP		PP
45 PH-P267	Metro Manila Subway Project Phase I/JICA/DOTr	PP			✓								1	PP*	•	PP*

Loan ID	Project details Project title/DP/IA	Past quarters AM status 4Q 2020	Alert indicators breached										# of ind. categories breached	AM status as of 4Q 2021		
			1a	1b	1c	1d	1e	2a	2b	3a	3b	Initial AM status		4a	Final AM status	
46 PH-P228	New Communications, Navigation and Surveillance/ Air Traffic Management Systems Development Project/JICA/DOTr	Completed***		✓	✓	✓						1	PP*		PP*	
47 PHL-21	Maritime Safety Enhancement Project/KEDCF/DOTr	-							✓			1	PP		PP	
48 PH-P270, 3796-PHI	North-South Commuter Railway Extension Project- PNR South Commuter Railway Project (NSRP-S) (Tutuban-Calamba)/JICA,ADB/DOTr	NP							✓			1	PP*		PP*	
49 PH-P268	New Bohol Airport Construction and Sustainable Environmental Protection Project II/JICA/DOTr	Completed***	✓		✓							1	PP		PP	
50 PH-P255	Capacity Enhancement of Mass Transit Systems in Metro Manila - LRT Line 2 East Extension/JICA/DOTr	APP II	✓				✓					1	PP		PP	
51 PHL-18	Pangul Bay Bridge Project (PBBP)/KEDCF/DPWH	APP II	✓		✓	✓						1	PP*		PP*	
52 PH-P265	Cavite Industrial Area Flood Risk Management Project (CIA-FRIMP)/JICA/DPWH	NP	✓		✓	✓						1	PP		PP	
53 8784-PH PD 0023-PHL	Metro Manila Flood Management Project Phase I/WB, AIIB/DPWH	PP	✓		✓							1	PP		PP	
54 PH-P271	Pasig Marikina River Channel Improvement Project, Phase IV/JICA/DPWH	NP			✓							1	PP		PP	
55 PH-P259	Flood Risk Management Project for Cagayan De Oro River (FRIMP-CDOR)/JICA/DPWH	APP II								✓		1	PP		PP	
56 3377-PHI	Angat Water Trasmission Improvement Project/ADB/MWSS	NP	✓									1	PP		PP	
57 CHI-9	New Centennial Water Source - Kaliwa Dam Project/China/MWSS	APP II							✓			1	PP		PP	
58 3935-PHI	Aqueduct No. 7 Project (Angat Water Transmission Improvement Project-Additional Financing)/ADB/MWSS	Newly effective	✓									1	PP		PP	
Projects with no alert indicators breached (Loan)- 5																
59 8816-PH	Philippine Rural Development Project - Additional Financing (PRDP-AF)/WB/DA	No assessment										0	NP		NP	
60 3961-PHI	COVID-19: Health System Enhancement to Address and Limit COVID-19/ADB/DOH	Newly effective										0	NP		NP	
61 8394-PHI (AIIB) 4050-PHI (ADB)	Second Health System Enhancement to Address and Limit COVID-19 (AF)/ADB and AIIB/DOH	-										0	NP		NP	
62 PH-P274	Cebu-Mactan Bridge and Coastal Road Construction Project/JICA/DPWH	NP										0	NP		NP	
63 PH-P264	Harnessing Agribusiness Opportunities through Robust and Vibrant Entrepreneurship Supportive of Peaceful Transformation (HARVEST)/JICA/LBP	PP										0	NP*		NP*	
Projects with no alert indicators breached (Grant)- 1																
64 Grant	Education Pathways to Peace in Conflict-Affected Areas of Mindanao (PATHWAYS)/Aus-DFAT/DepEd	PP										0	NP		NP*	
No assessment (Loan)- 7																
65 9271-PH	Philippine Rural Development Project (PRDP)- AF2/WB/DA	-											No assessment		No assessment	
66 CHI-11	Safe Philippines Project Phase I/China/DILG	-											No assessment		No assessment	
67 3589-PHI	Infrastructure Preparation and Innovation Facility (IPIF)/ADB/DOF (DOTr and DPWH)	No assessment											No assessment		No assessment	

Loan ID	Project details Project title/DP/IA	Past quarters AM status 4Q 2020	Alert indicators breached										# of ind. categories breached	AM status as of 4Q 2021		
			1a	1b	1c	1d	1e	2a	2b	3a	3b			Initial AM status	4a	Final AM status
68 3886-PHI	Infrastructure Preparation and Innovation Facility (IPIF)-Additional Financing/ADB/DOF (DOTr and DPWH)	No assessment												No assessment		No assessment
69 3944-PHI	Local Governance Reform Project/ADB/DOF	Newly effective												No assessment		No assessment
70 PHL-20	Philippines-Korea Project Preparation Facility/Korea/DOF	No assessment												No assessment		No assessment
71 9251-PH	Philippines: Seismic Risk Reduction and Resilience Project/WB/DPWH	-												No assessment (Newly effective)		No assessment (Newly effective)

*\*No submission. Alert status is based on Project Officer (PO) assessment.*

*\*\*PO-assessment based on partial data from IA.*

*\*\*\* Three projects (i.e., Maritime Safety Capability Improvement Project for the Philippine Coast Guard Phase I; New Communications, Navigation and Surveillance/ Air Traffic Management Systems Development Project; and New Bohol Airport Construction and Sustainable Environmental Protection Project II) were reported as physically completed with ongoing loans in the 4Q 2020 Alert Mechanism (AM). The status of these projects worsened to potential problem projects breaching the financial indicators in the 4Q 2021 AM.*

APP II - Actual problem project - Critical level

APP I - Actual problem project - Early warning

PP - Potential problem project

NP - No alert indicators breached

Alert mechanism leading indicators:

#### FINANCIAL

1a Ratio of actual loan proceeds disbursements to target loan proceeds disbursements is less than 70%

1b Ratio of actual grant proceeds disbursements to target grant proceeds disbursements is less than 70% (for grants only)

1c Ratio of actual disbursements from the GPH counterpart to target disbursements from the GPH counterpart is less than 70%

1d Difference between the time elapsed and the ratio of cumulative disbursements to the ICC-approved project cost is more than 30%

1e Difference between the ratio of cumulative disbursements to total ICC-approved project cost and the actual overall weighted physical accomplishment is more than 30%

#### PHYSICAL

2a Negative slippage of at least 10%

2b Project with delays of more than 6 months being experienced in its major ongoing activities in the critical path or in any ongoing component/due for completion deliverable or with some activities after the ICC approval of the extension of implementation period/loan or grant validity

#### COST OVERRUN

3a Potential cost overrun of at least 10% of the latest ICC-approved total project cost

3b Actual cost overrun of at least 10% of the latest ICC-approved total project cost (excluding cost overrun with NEDA Board Confirmation of ICC approval)

#### SUPPLEMENTAL INDICATOR

4a Project is completing within a year - initial AM status shall be elevated to the next alert status (for potential and actual problem projects only)