

## Annex 10: List of Requirements to Establish the Qualification of the Private Proponent for Unsolicited Proposals

The following documents and information are required to be submitted:

Answer the boxes below. Is the document submitted? (Yes, No, or N/A)



### **For all entities listed in the Private Proponent Information and Qualification Form**

1.  Valid SEC registration (or foreign equivalent)
2.  Latest proof of nationality (e.g., general information sheet (or foreign equivalent) for corporations, passport/birth certificate for individuals)
3.  List of key personnel (and their corresponding experience)
4.  Certification under oath indicating that the entity:
  - (a) is not included in blacklists issued by any government agency;
  - (b) has not been found by final judgment to be liable for violating the Anti-Money Laundering Act or for violating statutes that carry the penalty of disqualification from participating in tenders for government contract; and
  - (c) has no pending case filed against it by the government or by it against the government, or, should there be any, that such cases shall not impact the capability of the prospective Private Partner to carry out its obligations under the PPP Project.Any misrepresentation in the certification under oath shall be a ground to disqualify the private proponent.
5.  Full disclosure of its pending cases, if any, whether by it against the government or filed against it by the government  
Any misrepresentation in the certification under oath shall be a ground to disqualify the private proponent.
6.  Documentary proof that the entity have successfully undertaken project/s similar or related to the proposed project
7.  If the entity is a cooperative, proof of registration with the Cooperative Development Authority (CDA)

### **For the private proponent or consortium**

8.  Letter testimonial from a financial institution (authorized by BSP to transact in the Philippines, or has an investment grade foreign currency credit rating from a reputable agency which has an outstanding rating on the Republic of the Philippines) attesting that:
  - (a) The private proponent or members of the consortium are banking with them; and
  - (b) The private proponent and/or members of the consortium are in good financial standing and/or qualified to obtain debt financing from such financial institution to finance the PPP Project equivalent to the value of the debt requirement in the proposal.
9.  In case of a consortium, an undertaking, in favor of the Implementing Agency, by all consortium members binding themselves to be jointly and severally liable for the obligations of the Private Partner under the PPP Contract, if awarded.  
Such undertaking shall include a provision that if members of the consortium organize themselves as a corporation registered under Philippine laws, such corporation shall execute such an undertaking binding itself to the rights and obligations of the Private Partner under the PPP Contract, which shall substitute or be in lieu of the undertaking submitted by the members or participants of the consortium.
10. If undecided on a specific contractor and/or nominated entities:

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  - (a) shortlist of contractors and/or nominated entities; and
  - (b) corresponding statement of willingness to participate in the project and capacity to undertake the requirements of the project.

### **For entities who will infuse equity in the proposed PPP project**

11.  Most recent audited financial statements

### **For entities handling any construction activity**

12.  Valid PCAB license (or foreign equivalent)