

Project Title

Annex 4: List of Documentary Requirements for the approval of the proposed government undertakings or availability payments using national government funds for Local PPP Projects

No.	Document (should be in searchable format)	Description/Remarks	Implementing Agency Remarks (indicate which specific tab/cells/ annex/page(s) the document or information is found)	Evaluator Remarks
1	Letter of the implementing Agency/LGU to the ICC submitting the request for approval of proposed GU/AP using National Government Funds	The letter should indicate the following: <ul style="list-style-type: none"> a. project name b. description c. project cost d. expected output and outcome e. implementation period f. justification supporting the request for NG support for the project g. list of all documents being submitted in support of the project h. the full and unqualified endorsement of the Head of the Agency submitting the request for NG support 		
2	Annual Investment Program/Plan	Annual Investment Plan of the LGU in accordance with Section 296 of RA 7160 and other related issuances		
3	Certification as to the outstanding loan obligations of the LGU	Summary of the outstanding loan obligations of the LGU which should include the following: <ul style="list-style-type: none"> a. financing institution b. type of loan; purpose; amount; date availed; date of maturity; interest rate; tenor; c. outstanding loan balance as of date together with the amortization schedule from the lending institution 		
4	General Fund Pre-Closing Balance and Statement of Financial Performance	General Fund Pre-Closing Trial Balance and Statement of Financial Performance for the past three (3) years.		
5	Audited Financial Statements	Latest COA Audited Financial Statements		
6	Endorsement by the Regional Development Council	Written notice to the LGU or LUC endorsing the requested Government Undertaking or Availability Payments for the PPP Project, and stating its justification for such. <i>Note: If the RDC consulted with the Department of Finance (DOF) prior to the former's endorsement, the non-binding letter from the DOF should also be provided.</i>		
7	Documentary requirements for approval of Local PPP Projects	Documentary requirements for approval of Local PPP Projects, as required by the PPP Governing Board		

The LGU may submit other documents that may help support the case of its request.