

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Government/ Funding Institution Approvals

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Resolved			
1.	Technical support on the enhancement of the existing information system for agrarian reform beneficiaries in the Philippines (Kumustasaka)/ DAR / FAO	The project experienced a setback in its planned schedule, i.e., a seven-month delay at project start-up.	<u>Actions taken</u> Weekly meetings were instituted as a proactive measure to alleviate the effects of project delays and address resource management challenges, thereby promoting better coordination and communication within the team and enabling the timely resolution of related issues.
2.	Seventh Operational Phase of the GEF Small Grants Programme in the Philippines (SGP-7)/ DENR/ GEF	Delayed re-confirmation of financing commitments as one of the requirements for the re-issuance of Special Presidential Authority (SPA) for the project.	<u>Actions taken</u> Delayed issuance of the Special Order which influenced the delay in the formal organization of the governance platforms including the Landscape Round Table Committees (LRTC) and the Project Technical Review Committee (PTRC). The project initiated convening the LRTC to consult and review the landscape strategies and ensure that the voice of the communities is reflected in the strategy documents. Furthermore, the members of the PTRC have already been identified and submitted to

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		DENR Biodiversity Management Bureau (BMB) for their affirmation and endorsement.
3. Ozone Depleting Substances – Institutional Strengthening Project – Phase XIII (ODS-Phase XIII)/ DENR/ UNEP	Slow processing of the Presidential Project Approval which used to be needed for the implementation of all New Montreal Protocol Projects and commitments.	<u>Actions taken</u> Upon consultation with the Philippine Ozone Desk, issuance of the SPA may not be needed, and a Project Cooperation Agreement between UNEP and DENR would instead suffice. The agreement was signed on December 28, 2021, by the DENR Undersecretary for Policy, Planning and International Affairs and the Head of the Ozone Action (UNEP).
4. Access to Sustainable Energy in the Philippines (ASEP)/ DOE/ EU, WB, SIDA	Under Component 3: Call for Proposals SolarBnB Microhotel and Island Livelihood Energizer Platform (SMILE) Subcomponent, the project experienced delays in the SMILE subcomponent due to (a) delays in the approval of its Loan Agreement with Infranco Asian Development; and (b) limited time to complete construction and installation (further consideration was discussed on the number of sites to be completed)	<u>Actions taken</u> Based on the latest discussion with the EU, SMILE can only commit one island (Kahinaan) due to inadequacy of co-financing. Notwithstanding, DOE reported the following accomplishments for SMILE: a. 80 to 90 percent household electrification rate b. 24/7 RE-based electricity access c. 100 percent metered connections
5. North-South Commuter	Given that the validity of the ADB loan for the project is only until June 2023, there is a need	<u>Actions taken</u>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Railway Extension Project – Malolos-Clark Railway Project (NSCR-MCRP)/ DOTr/ JICA and ADB	for DOTr to confirm if there is a need to further extend the validity of the said ADB loan, noting as well that the project completion date stipulated in the loan agreement is until December 2022 only.	<p>DOTr, in its letter to NEDA dated December 22, 2022, submitted a request for a three-month extension of loan validity of the ADB loan financing the NSCR-MCRP. In a letter dated January 12, 2023, NEDA informed DOTr of the discussion during the January 9, 2023 Investment Coordination Committee (ICC)-Technical Board (TB) meeting where the Board expressed its willingness to endorse up to one-year loan validity extension for the project, subject to the confirmation of DOTr, and the concurrence of DOF and ADB.</p> <p>Through its January 17, 2023 letter to NEDA, DOTr confirmed support for said one-year extension. In a letter dated March 8, 2023, NEDA requested ADB's concurrence to the proposal which was subsequently concurred by the latter through a letter dated March 13, 2023.</p>
6. New Centennial Water Source-Kaliwa Dam Project (NCWS-KDP)/ MWSS/ China Eximbank	Difficulty in securing the Special Use Agreement in Protected Areas (SAPA) necessary for the commencement of the project due to the lengthy and time-consuming process of the issuance by the National Commission on Indigenous Peoples (NCIP) of the Certification Precondition which is one of the documentary requirements for the SAPA.	<p><u>Actions taken</u></p> <p>DENR Secretary signed the SAPA for Rizal area (Presidential Proclamation [PP] 573 - Kaliwa River Forest Reserve) on July 25, 2023. The SAPA for Quezon area (PP 1636) was signed on November 23, 2023.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
7.	Maritime Safety Capability Improvement Project Phase I (MSCIP I)/ DOTr/ JICA	The validity period of the JICA loan financing the project was suspended pending DOTr request to utilize the remaining JPY 4.933 billion JICA loan balance.	<p><u>Actions taken</u></p> <p>DOTr, through its letter dated March 9, 2021, officially requested for the utilization of remaining loan balance for additional project scope. The ICC-TB, during its June 25, 2021 meeting endorsed the request for ICC-Cabinet Committee (CC) approval. Additional instructions were relayed to DOTr on June 30, 2021 which the Department complied with through a letter dated February 2, 2022.</p> <p>The ICC-CC, in its October 28, 2022 meeting, approved the request, which was subsequently confirmed by the NEDA Board on November 24, 2022.</p> <p>DFA issued its clearance on the note verbale to the Government of Japan through a letter dated April 18, 2023. The Government of Japan concurred with the loan extension through a letter dated June 30, 2023.</p>
Current			
1.	Support to Parcelization of Lands for Individual Titling	Since 2021, there were delays in the signing of the Joint Administrative Order (JAO) of DAR with the NCIP for procedures to facilitate the participation of affected indigenous peoples (IPs) and/or beneficiaries and address possible	<p><u>Actions taken</u></p> <p>The JAO underwent review by the NCIP, particularly by the Technical Working Group (TWG) created for the purpose of the formulation of the draft JAO and was subject to presentation to the NCIP En Banc. The</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
(SPLIT)/ DAR/ WB	problems and concerns that may arise in agrarian areas with IP communities. As discussed during the World Bank's Midterm Review Mission wrap-up meeting on October 25, 2023, the JAO is yet to be signed.	<p>DAR-NCIP JAO is still pending approval by the said Commission.</p> <p><u>Actions to be taken</u> DAR and NCIP to facilitate the approval and signing of the JAO.</p> <p><u>Updates</u> The DAR-NCIP JAO was presented to the NCIP En Banc on May 16, 2024 and is now in progress for finalization and approval.</p>
2. Biodiversity Finance Initiative – Phase 2 (BIOFIN-Phase 2)/ DENR/ EU, Germany, Switzerland, Flanders, Norway, Canada, UK	Since the campaign launch of the Year of the Protected Areas (YoPA) in May 2022, the proposed PP declaring 2022 as YoPA and of June of each year as Month of the Protected Areas has not been approved due to the non-commitment of the lead agencies (i.e., DENR, DOT, and DILG). Accordingly, BIOFIN will not be able to deliver this result.	<p><u>Actions taken</u> BIOFIN is continuously and regularly coordinating this concern with BMB and will begin routing the endorsement of the proposed PP to DENR CO. Simultaneously, BIOFIN is coordinating with DOT and DILG focal persons regarding their advice regarding the need for a reaffirmation from the Secretaries of the two agencies.</p> <p>The memorandum for DENR Secretary for the endorsement of the PP to the Office of the President has been released.</p> <p><u>Actions to be taken</u></p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		BMB to present the proposed PP on the YoPA to DENR Executive Committee.
3. Ensuring Functioning of Cultural Ecosystem Services in an Urban Setting: Assimilating Nature for Forest Healing and Experiential Learning in Ninoy Aquino Parks and Wildlife Center (AFoCO-FRESH)/ Korea Forest Service (KFS) through the Asian Forest Cooperation Organization (AFoCO)/ DENR/ Korea	Delayed approval/signing of the Memorandum of Understanding (MOU) between AFoCO and DENR, resulting to delayed start-up activities.	<p><u>Actions taken</u> DENR Forest Management Bureau (FMB) and Foreign-Assisted and Special Projects Service (FASPS) closely coordinated with DENR Office of the Secretary (OSEC) to finalize review and signing of the MOU.</p> <p><u>Actions to be taken</u> DENR to facilitate the following: (a) engagement of Consultant/Assisting Professional to provide technical assistance in project implementation; (b) finalization of Work and Budget Plan; and (c) opening of project account.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
4. Ensuring Sustainable Benefits from Peatland through Protection and Wise Use (ESBenePeat) / DENR /GIZ	Accounts payable have accumulated including salaries of staff and obligated contracts of suppliers and pending commitments (i.e., awarding of contracts of consultants) due to delayed signing of Supplemental Grant Agreement.	<p><u>Actions taken</u></p> <p>DENR, through a letter to NEDA dated December 15, 2023, requested reconfirmation of NEDA's original endorsement of the project to enable the DOF to facilitate the signing of a Supplemental Grant Agreement (SGA) for the changes in project budget lines as indicated under Article 2 of the SGA. Further, DENR requested an extension of the grant validity period from July 1, 2023 to December 31, 2023.</p> <p>NEDA through a letter to DOF dated December 27, 2023, reconfirmed its original endorsement of the project; and poses no objection to continue with the implementation of the project until December 31, 2023 and to process pending payments for completed works and services.</p> <p>The corresponding fund to cover salaries and outstanding payables was not yet downloaded/ released and was still being processed by GIZ. A series of coordination meeting between DENR and GIZ Indonesia (implementor) were conducted to follow up and fast track downloading of funds as early as January 2024.</p> <p><u>Actions to be taken</u></p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		DENR to request assistance from GIZ Philippines to follow up the release of funds.
5. Implementation of PCB Management Programs for Electric Cooperatives and Safe E-Wastes Management (PCB-WEEE)/ DENR /GEF	Issuance of entry permit for the processing of polychlorinated biphenyl (PCB)-contaminated equipment was below the target largely due to non-issuance of entry permit.	<p data-bbox="1299 504 1917 671"><u>Actions taken</u> Intervention of higher management of DENR and Philippine National Oil Company (PNOC) led to the agreement on issuance of entry permit.</p> <p data-bbox="1299 708 1917 772">DENR and PNOC arrived at the following agreements:</p> <ul data-bbox="1299 775 1917 1377" style="list-style-type: none"> a. Natural Resources Development Corporation (NRDC) to optimize its operations to 1000 tons until December 2024 and pay half of its gross earning to PNOC for the PHP 172 million investment of PNOC in the establishment of the Non-Com POPs Facility in Bataan during a previous project of DENR. The amount is being recouped by PNOC as they have already pulled out of the project; b. Entry Permit to be granted to NRDC to allow its unhampered operations of the facility; and c. Interest from the delay in payments will be stalled but would depend on the performance of NRDC.

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<u>Actions to be taken</u> Compliance with the payment committed pursuant to the Memorandum of Agreement (MOA) between DENR and PNOC (the entry permit is already granted by PNOC).
6.	One of the concerns that the Non-Com POPs Destruction Facility encountered was the procurement of the necessities for the operations including PPEs, funds for repair and maintenance of equipment, etc.	<u>Actions taken</u> To hasten the procurement process, data gathering of suppliers and service providers with Philippine Government Electronic Procurement System (PhilGEPS) Certification and acquiring bulk volumes of necessary materials quarterly and/or semi-annually was proposed for the year 2024. Initial discussions regarding Re-Order System were also done and formal coordination with the Bids and Awards Committee (BAC) and BAC Secretariat are to be done to deliberate the possibility of its application to the Non-Com POPs Facility. <u>Actions to be taken</u> Establish a re-order point inventory especially for fast moving parts.
7.	Implementing the National Framework on Slow approval process for MOAs for government agencies, especially given the project timeline of six years. The MOA with	<u>Actions taken</u> The project is continuously following up with DENR CO the approval of the MOAs.

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Access and Benefit Sharing of Genetic Resources and Associated Traditional Knowledge in the Philippines (ABS)/ DENR / GEF	<p>NCIP has been for approval since 2022, this was approved on side of NCIP by the Commission En Banc in May 2023, and forwarded to DENR CO for DENR approval in July 2023. As of current, this is still pending with DENR OSEC for approval.</p> <p>As such, implementation of activities within the ancestral domains/project sites in Region III is still pending the signing of the MOA, which will serve as basis for the issuance of the project-based Free and Prior Informed Consent (FPIC).</p> <p>MOAs with the project's private sector partners, which were submitted to DENR CO in July 2023, are also pending approval by the Department.</p>	<p><u>Actions to be taken</u></p> <p>The Project is also exploring if there are other legal tools that can be used while waiting for the clearance of the MOAs for activities to proceed.</p>
8.	<p>Since Region III sites are all IP communities, the conduct of project activities is contingent on the MOA with NCIP and issuance of a project-based FPIC to facilitate project implementation.</p> <p>The DENR-NCIP MOA on ABS Project has been endorsed by BMB for clearance/approval by DENR Secretary and expected to be signed in the first quarter of 2024.</p>	<p><u>Actions taken</u></p> <p>The project has been continuously following up with DENR CO the signing of the MOA between BMB and NCIP on the implementation of the ABS Project, which is necessary for the issuance of the project-based FPIC.</p> <p>The project has also been coordinating w/ NCIP CO and NCIP Region III on which activities may be conducted prior to issuance of the project-based FPIC. The project has conducted the site pre-selection in Region III</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>and will be conducting the field-based investigation for the project-based FPIC, as well as for the FPIC application of Herbanext, to which NCIP has been actively participating.</p> <p><u>Actions to be taken</u> Continue to coordinate with all relevant agencies until issuance of FPIC to proceed with Implementation of activities in Region III.</p>
9.	<p>Project delays and processes were not aligned with internal timelines of private sector partners. Although the ABS Agreement between Herbanext Inc., Maporac Ayta Organization (MAO) Certificate of Ancestral Domain Title (CADT), and NCIP has already been committed to and ceremonially signed, initially there were concerns that the process (i.e. full-blown FPIC process) is too time consuming and costly for Herbanext Inc, given that the collection of Banaba leaves is still exploratory in nature and the results that will determine if they move forward with the full-blown bioprospecting is still uncertain.</p>	<p><u>Actions taken</u> Continuous follow up with the necessary agencies on the next steps and ways forward for Project partners and keeping private sector partners in the loop with the discussions with agencies.</p> <p><u>Actions to be taken</u> Continuous follow up with the necessary agencies.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source			Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
10.			Site-TWGs in Region V need to be formalized. Accordingly, the partner agencies requested a multi-stakeholder MOU for this purpose.	<p><u>Actions taken</u> The draft multi-stakeholder MOU has been circulated to the site-TWG members for their input and for finalization and is targeted to be signed by the first quarter of 2024.</p> <p><u>Actions to be taken</u> Continuous follow-up with DENR Region V on the approval of the multi-stakeholder MOU. Once approved, the signing of the MOU can proceed.</p>
11.	Improved Local Community Livelihoods Through Increased Benefits from Non-Timber Forest Products: Modeling Scalable Community- Based Enterprises in Asia (AFoCO- NTPF)/ Korea Forest Service (KFS) through		Delayed approval/signing of the Memorandum of Understanding between AFoCO and DENR, resulting to delayed start-up activities.	<p><u>Actions taken</u> FMB and FASPS closely coordinated with DENR OSEC to finalize the review and signing of the MOU.</p> <p><u>Actions to be taken</u> DENR to facilitate the following: (a) engagement of Consultant/Assisting Professional to provide technical assistance in project implementation; (b) finalization of Work and Budget Plan; and (c) opening of project account.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
the Asian Forest Cooperation Organization (AFoCO)/ DENR/ Korea			
12.	Philippines Safe Water Activity (Safe Water)/ DENR/ USAID	Low level of funding for Water Supply and Sanitation (WSS) and Water Resource Management (WRM).	<p><u>Actions taken</u> Technical assistance (TA) on accessing financing from NGAs and private financing entities was provided to Local Government Units (LGUs) and other Water Service Providers (WSPs). The WRM financing framework was also developed.</p> <p><u>Actions to be taken</u> The following will be undertaken: (a) continuous TA to LGUs and WSPs; (b) support towards adoption of WRM financing framework; (c) support to DENR Water Resource Management Office (WRMO) and NEDA in implementing priority key action plans in the Integrated Watershed Management Plan (IWMP) and Philippine Water Supply and Sanitation Master Plan (PWSSMP); (d) TA and advocacy support to DENR-WRMO and NEDA in implementing the Water Program Budget Convergence for 2025; and (e) coordinate the NG budget allocation for WSS and WRM programs, projects, and activities and ensure the rational and efficient utilization of NG grants</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		as part of the Unified Resource Allocation Framework (URAF) operationalization.
13. Education Pathways to Peace in Mindanao (PATHWAYS)/ DepEd/ DFAT Australia	The Monitoring, Evaluation, Accountability, Research, and Learning (MEARL) component of Pathways realized that it may not be able to attain intended outputs and outcomes until project completion.	<p><u>Actions taken</u> The Palladium, an organization involved in the Pathways program designed a three-year strategy presented during the Program Steering Committee (PSC) meeting held in December 2023. The strategy is focused on working towards catching-up on implementation and project sustainability.</p> <p><u>Actions to be taken</u> DepEd and the Ministry of Basic, Higher, and Technical Education (MBHTE) of BARMM will implement the approved strategies with guidance from the PSC.</p>
14. Programme for COVID-19 Crisis Response Emergency Support (CCRES)/ DOH/ JICA	Additional time prior to the utilization of vehicles was incurred due to the necessary compliance of DOH in processing the registration of the vehicles including securing of Certificate of Conformity (COC) from DENR.	<p><u>Actions taken</u> DOH facilitated close coordination with the procurement agent and with the agent who supplied the vehicles to proceed with the registration and to comply with the documentary requirements.</p> <p><u>Actions to be taken</u> DOH and the contractor to continue with the processing of registration and insurance of the vehicles.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
15. Maritime Safety Capability Improvement Project Phase I (MSCIP I)/ DOTr/ JICA	Delays encountered in the approval of the Request for Proposal (RFP)/Terms of Reference (TOR) and Detail Technical Evaluation Criteria (DTEC) for the procurement of the consultancy service of shore-based communications.	<p><u>Actions taken</u></p> <p>Philippine Coast Guard-Project Management Office (PCG-PMO) complied with JICA's comments and recommendations on the RFP. On December 18, 2023, JICA forwarded an advance copy of their letter of concurrence on the submitted RFP and requested the submission of the final draft of the short list of consultants for JICA's review and concurrence prior to the issuance of the final RFP.</p> <p>DOTr Undersecretary for Maritime requested on December 20, 2023 the assistance of the BAC on the shortlisting of consultants. The BAC notified that the shortlisting of consultants may only proceed if the project is included in the Annual Procurement Plan (APP) of the Department.</p> <p><u>Actions to be taken</u></p> <p>PCG-PMO to request for the inclusion of the project in the first quarter 2024 APP of the Department.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
16. LRT Line 1 South Extension (L1SEP)/ DOTr/ JICA	The project shall require additional funding to cover additional lots for right-of-way (ROW) acquisition. Securing additional funding shall require prior ICC approval for an increase in project cost.	<p data-bbox="1312 368 1906 571"><u>Actions taken</u> DOTr, through letters to NEDA dated November 8, 2023 and November 29, 2023, requested for an increase in project cost (with extension of loan validity period) and submitted partial ICC requisite documents.</p> <p data-bbox="1312 608 1906 810"><u>Actions to be taken</u> DOTr to submit its request for project restructuring with complete and compliant ICC requisite documents to facilitate ICC review/approval within the second quarter of 2024.</p> <p data-bbox="1312 847 1906 1150"><u>Updates</u> DOTr, through a letter dated February 12, 2024, submitted the remaining ICC requisite documents, and requested for an additional six-month extension of the implementation period from February 2027. DOTr provided additional documents for its updated project restructuring request through letters dated February 29, 2024 and March 12, 2024.</p> <p data-bbox="1312 1187 1906 1380">The ICC Secretariat, through a letter dated March 19, 2024, provided its comments to DOTr. DOTr, through a letter dated April 1, 2024, submitted its response to the comments of the ICC Secretariat, which is currently being reviewed by the latter.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
17. North-South Commuter Railway Extension Project – Malolos-Clark Railway Project (NSCR-MCRP)/ DOTr/ JICA and ADB	Contract packages (CPs) N01 to N05 need to be amended to cover (a) additional costs associated with re-measured works sections; (b) future and planned variations; (c) adjustments for changes in costs; and (d) COVID-19 compliance expenditures.	<p><u>Actions taken</u></p> <p>ADB, through a letter dated September 1, 2023, issued its no objection to the proposed contract amendment.</p> <p>DOTr, through email to NEDA on September 19, 2023 and letter dated October 20, 2023, requested concurrence with the Department's position that the proposed execution of a contract amendment under the MCRP would not require further ICC review/ approval. NEDA, through a letter dated November 13, 2023, provided its concurrence and conveyed its remaining clarifications.</p> <p><u>Actions to be Taken</u></p> <p>The contract amendments for additional costs vis-a-vis re-measured work sections and future/ planned variations were already approved/signed.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		DOTr to process price adjustments and COVID-19 claims.
18. Philippine National Railways (PNR) South Long Haul Project/ (PNR-SLHP)/ DOTr/ China Eximbank	The loan application for China financing for the Design and Build CP1 (Banlic to Daraga) [DB01] was initially withdrawn due to a memorandum from the Office of the President dated April 28, 2022.	<p><u>Actions taken</u> DOTr issued a letter allowing the loan process for DB01 to proceed. Subsequently, DOF continued with the loan application process for DB01 with China Eximbank on December 27, 2022.</p> <p>DOF, through a letter dated June 6, 2023 to the China Embassy, requested feedback regarding China's commitment in providing financing for the project.</p> <p><u>Actions to be taken</u> DOTr to continuously coordinate with DOF to ascertain the next steps regarding the matter.</p>
19.	There will be a significant cost escalation due to increased construction cost and ROW acquisition	<p><u>Actions taken</u> DOTr has completed the updating of the total project cost (TPC) under capital expenditures.</p> <p><u>Actions to be taken</u> DOTr to prepare and submit to NEDA a request for project restructuring within the second quarter of 2024.</p>
20. Reconstruction and Development	Additional time extension is needed as completion of civil works is beyond the ICC-approved timeline.	<p><u>Actions taken</u> DPWH submitted its request for ICC approval of a 57-month implementation period</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Plan for Greater Marawi-Stage 2 (Loan title: Emergency Assistance for Reconstruction and Recovery of Marawi-Component 2) [RDPM2]/ DPWH/ ADB		<p>extension (from September 2022 to June 30, 2027) and 42-month loan validity extension (from June 30, 2024 to December 31, 2027).</p> <p><u>Actions to be taken</u> DPWH to closely coordinate with NEDA on the processing of the request for project restructuring relative to the implementation period and loan validity extension and change in scope and cost.</p> <p>A NEDA-DPWH technical meeting regarding the request for an extension of n validity, change in scope and implementation period was then conducted on April 8, 2024. The response/compliance to the discussion during the meeting was already submitted per letter dated April 12, 2024. NEDA acknowledged the submission on April 18, 2024.</p>
21. Priority Bridges Crossing Pasig-Marikina River and Manggahan Floodway (MM3BP)/ DPWH/ China Eximbank	For CP 1 (North and South Harbor Bridge), the application for the Environmental Compliance Certificate (ECC) was denied by DENR.	<p><u>Actions taken</u> DPWH forwarded to the Interagency Council for the Pasig River Urban Development requesting to endorse the project.</p> <p><u>Actions to be taken</u> DPWH to continuously coordinate with the Interagency Council to expedite the endorsement.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source			Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
22.	Metro Flood Management Project, Phase I / DPWH (MMFMP)/ WB and AIIB	Manila	Difficulties in obtaining funding institution approvals or required clearances (e.g., issuance of No Objections).	<p><u>Actions taken</u> Regular follow-ups with the WB to ensure that the clearances on bidding documents, scope of works, etc., and the required documents were being complied with.</p> <p><u>Actions to be taken</u> DPWH to coordinate with the funding institution to check on the requested clearances' status.</p>
23.	Cavite Industrial Area Flood Risk Management Project (CIA-FRIMP)/ DPWH/ JICA		The project encountered delays due to ROW acquisition [pending issuance of permit to enter (PTE) and Writ of Possession (WOP)].	<p><u>Actions taken</u> DPWH Unified Project Management Offices (UPMO) Flood Control Management Cluster (FCMC) ROW Team closely coordinated and negotiated with the owners of the affected lots and facilities, to at least secure a PTE. Moreover, for lots under expropriation, the ROW Team, in coordination with the Project Consultant, is fast-tracking the preparation of the necessary documents for submission to the concerned RTC.</p> <p><u>Actions to be taken</u> DPWH-UPMO-FCMC to continuously negotiate and expropriate affected properties (lots, structures/improvements) for both formal and informal settler families (ISFs) to immediately secure the PTE/WOP and</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		continue project implementation without further delay.
24. Philippines-Korea Project Preparation Facility (PK-PPF)/ NIA / KEDCF	Procurement for the water/irrigation support component of the project has not yet proceeded since NIA has once again sought DOF's approval of changes in the approved list of subprojects for Package 1 (Feasibility Study [FS]) and Package 3 (Strategic Environmental and Social Assessment Study [SESAS]).	<p><u>Actions taken</u></p> <p>DOF's approval of NIA's request to proceed with procurement for Packages 1 and 3 and Korea Eximbank (KEXIM)-Economic Development Cooperation Fund's (KEDCF) endorsement of the same were received by NIA in September and October 2023. However, per NIA Management's directive, Balintongan Multipurpose Project under both packages is being proposed for deletion since this will instead be targeted under a Public-Private Partnership (PPP) scheme.</p> <p>In its updated request to DOF, NIA provided the following options that will entail no changes in the project cost: (a) exclusion of the Balintongan Multipurpose Project out of the four approved projects under Packages 1 and 3, and revision of Package 2 (Detailed Engineering Design [DED] and SESAS) to include a new project; or (b) replacement of the Balintongan Multipurpose Project under Packages 1 and 3 with a new project.</p> <p><u>Actions to be taken</u></p> <p>NIA to seek approval of the revised list of subprojects in the upcoming Project Steering</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>Committee meeting, tentatively scheduled for April or May 2024. Upon approval, NIA to seek endorsement of the same with KEDCF.</p> <p><u>Updates</u> During the DOF-NIA technical meeting on April 8, 2024, NIA conveyed the latest decision from NIA Management, stating that they will no longer pursue the delisting of the Balintongan Multipurpose Project due to concerns regarding its intended financing under a PPP scheme. Consequently, NIA will withdraw its official request to DOF regarding this matter.</p>
25 Capacity Building to Foster Competition Project (CBFCP)/ PCC/ ADB	Processing time for engaging lawyers as technical consultants was adversely affected by government clearances. The Office of the Solicitor General (OSG) clarified that clearance is still necessary for private lawyers to participate as resource persons or speakers.	<p><u>Actions taken</u> PCC is actively coordinating with the OSG to ensure a streamlined review and prompt issuance of clearance and/or deputation. In addition, PCC is seeking guidance from the OSG if it can issue a framework approval or clearance for resource persons to be engaged under the CBFCP who will serve as subject matter experts for lectures and seminars organized by the PCC and UP Law.</p> <p><u>Actions to be taken</u> PCC to continuously coordinate with the OSG to ensure the prompt issuance of clearance and/or deputation.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

*Design, Scope, Technical Specifications**Procurement*

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Resolved			
1.	Local Governance Reform Project (LGRP)/ BLGF/ ADB	Delays were encountered in the procurement process for consultants tasked with overseeing activities and deliverables under Output 1, which include updating manuals and the Mass Appraisal Guidebook ¹ , as well as for the Financial, Administration, and Technical Support (FATS) firm and technical personnel for Outputs 1 and 3, responsible for supporting LGU consultations.	<u>Actions taken</u> BLGF reassessed the budget ceiling for contracts and subsequently reissued the Request for Proposals for the FATS firm and technical support staff for Outputs 1 and 3. As a result, progress has been achieved in the procurement process for the FATS firm, with the contract being signed in mid-December 2023. Technical support staff for Outputs 1 and 3 were onboarded in January 2024.
2.	Philippine Customs Modernization Project (PCMP)/ BOC/ WB	The procurement of the PSQA Consultant was delayed due to the merger of Quality Institute (QI), the firm with the most advantageous proposal, with OCA Global. Given that the TOR for the procurement did not provide for possible changes in the personality of the bidder as a	<u>Actions taken</u> The Special Bids and Awards Committee (SBAC), in its Resolution No. 2022-1 dated 16 September 2022, accepted the change of corporate personality of the lead partner of the JV, Instituto de la Calidad S.A. (also

¹ This supports the Manual on Real Property Appraisal and Assessment Operations and updates the technical appraisal material in the Manual.

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
	<p>result of merger or acquisition, the decision on whether to proceed with the negotiation had to go through a lengthy process given the possible legal implications.</p> <p>Additional delays in the procurement of the PSQA Consultant were incurred by the project pending the resolution of the case of DBM-Procurement Service (PS-DBM) and BOC versus JV of Omniprime Marketing, Inc. and Intrisoft International Inc. [the highest rated bidder for the Philippine National Single Window – Phase 2 (PNSW2) Project, which was the previous effort of BOC to digitize its operations]. A court order on February 22, 2022, declared similar projects illegal, including the PCMP (particularly its Customs Processing System [CPS] component).</p>	<p>known as QI) to OCA Global Consulting and Technical Advisory (CTA) Services, S.LU., conditioned upon OCA Global CTA's compliance of retaining the key experts previously evaluated as part of the QI's technical proposal.</p> <p>On November 15, 2023, the formal Ceremonial Signing of the Contract for the Procurement of PSQA Services for the PCMP was held. The JV of OCA Global Consulting and Technical Advisory Services, S.L. was selected for having the highest evaluated bid. The selection process used for the consultancy service is Quality and Cost Based Selection.</p>
<p>3. Paris Agreement in Action: Upscaling of Forest and Landscape Restoration to Achieve Nationally Determined Contribution/ DENR/ FAO</p>	<p>Failure in the procurement of service provider for the development of NFMS due to budget constraints</p>	<p><u>Actions taken</u></p> <p>FMB has proposed alternative activities, emphasizing Capacity Building for Forest and Landscape Restoration (FLR) Monitoring, including training for Greenhouse Gas (GHG) Inventory, instead of the development of NFMS.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
4.	Access to Sustainable Energy in the Philippines (ASEP)/ DOE/ EU, WB, and SIDA	Delays in Component 2: Investment Support, (Rural Network Solar [RNS] Subcomponent) were due to the failure of the first round of bidding, and delays in the tendering for the second round of bidding.	<p><u>Actions taken</u></p> <p>The National Power Corporation (NPC) requested WB for a one-month extension of the closing date of WB-NPC loan agreement to complete the commissioning and payment processes to run concurrently, with careful monitoring and timely support provided by WB to NPC.</p> <p>Since the extension only required an amendment of the WB-NPC grant, no action was needed from the Philippine government as there would be no change in the EU-WB Administration Agreement and EU-Government Financing Agreement.</p> <p>WB, in its letter to NPC dated July 31, 2023, informed NPC that WB has designated August 31, 2023 as the revised closing date that aligns with Section IV.B.2 of Schedule 2 in the Grant Agreement.</p> <p>a. Luzon Bundle (Lots 1, 2 and 3) was dropped due to unsuccessful bidding;</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<ul style="list-style-type: none"> b. Visayas Bundle (Lots 4 and 5) was not completed by August 2023, however, the contractor committed to complete the construction beyond August 2023; and c. Mindanao Bundle (Lots 6 and 7) was completed as of August 31, 2023.
5.	<p>Under Component 3: Call for Proposals (Integration of Productive Uses of Renewable Energy for Sustainable and Inclusive Energization in Mindanao [i-PURE] Subcomponent), there were delays in the tendering and implementation of the remaining activities related to a number of i-PURE pilots and the TAWELCO Barangay Line Extension Program (BLEP): (i) failure of bidding; (ii) absence of qualified bidders; (iii) delays in the finalization of design; and (iv) delays in the LGU counterpart activities.</p>	<p><u>Actions taken</u></p> <p>DOE adopted new work measures to mitigate delays and meet the target completion schedule.</p> <p>Nine work packages (Arakan, Bagumbayan, Picong, Tulunan, Kidapawan, Tawi-Tawi, Senator Ninoy Aquino, Kalamansig and Lebak) were completed. Final testing and commissioning were also completed in 2023. For the work package in Glan, the contractor decided to abandon the project due to limited time available for implementation².</p> <p>Meanwhile, the BLEP implemented by TAWELCO is 90 percent completed as of October 2023</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
6. Development for Renewable Energy Applications Mainstreaming and Market Sustainability (DREAMS) /DOE / UNDP	Limited number of bidders/contractors for the 18 off-grid Renewable Energy (RE) electrification caused delays in project implementation, resulting in only 94 percent fund utilization rate.	<u>Actions taken</u> Conducted market surveys, engaged with the local contractors' association, and sought assistance from LGUs to identify and encourage participation from the local contractors.
7. Philippines COVID-19 Emergency Response Project (PCERP) / DOH / WB	The project encountered bottlenecks in the civil works procurement for the remaining packages due to delays in the bid evaluation by the TWG and expiration of funding source from the Continuing Appropriations (CONAP) 2022 on December 31, 2023.	<u>Actions taken</u> The Project Management Team (PMT) requested the assistance of the Centers for Health Development/Health Facilities in determining the qualified bidders to participate in the call for proposals. The PCERP project team coordinated with the health facilities to ensure that the submitted technical documents from bidders are thoroughly reviewed before the approval process.
8. Philippines Multisectoral Nutrition Project (PMNP)/ DOH/ WB	Delays from the WB in responding to requests for review and approval for engaging UN agencies to facilitate national and international procurement of goods and services.	<u>Actions taken</u> The PMO made frequent follow-ups with the Bank Procurement Team through e-mail and messaging channels to resolve the delayed response/issuance of approvals of procurement contracts.

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Current			
1.	Support to Parcelization of Lands for Individual Titling (SPLIT)/ DAR /WB	Continuous delays in critical procurement of new survey packages, IT equipment for both DAR and partner agencies, and key project consultants due to the absence of Procurement Specialists, failed biddings, low turnout of applicants, and delays in updating information about the procurement packages in the World Bank's (WB) Systematic Tracking of Exchanges in Procurement (STEP) system.	<p><u>Actions taken</u></p> <p>DAR has enhanced its procurement process for the project and has reconstituted its BAC. The new Chairperson and members of the BAC were oriented on the World Bank's Procurement Guidelines.</p> <p>The SPLIT CPMO fast tracked the review of procurement packages and TORs, as well as the updating of approved packages in WB's STEP system. Accordingly, the TORs and qualification requirements of the consultants were reviewed and reasonably modified. Moreover, the rates used under the project for the conduct of survey, which have been below-standard for the past two years (at PHP 800 per hectare), were updated to PHP 7,000 per hectare.</p> <p>As of December 2023, two of the three Senior Procurement Specialists were already procured. The procurement of consultants and remaining equipment is in progress.</p> <p><u>Actions to be taken</u></p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>DAR to expedite the procurement of consultants and remaining equipment and shall submit to NEDA the schedule/timeline and actual progress of procurement, as well as updates on procurement activities for the conduct of survey following the updating of the below-standard published rates to more competitive rates.</p> <p><u>Updates</u> As of May 2024, DAR awarded the contracts for the following consultants: Data Modeler, System Specification Designer and IT Specialist. Meanwhile, DAR is currently processing the procurement of the following: Senior Procurement Specialist, National Project Manager, Senior Environment and Social Safeguards (ESS) Specialist, and Junior ESS Specialists.</p>
2. Implementing the National Framework on Access and Benefit Sharing of Genetic Resources and Associated	Major procurements (above PHP 1 million) of the Project are all ongoing. Procurement for knowledge, attitudes, and practices (KAP) Assessment and Roadmap Developer for banana were procured in the fourth quarter of 2023, but started the procurement process in the fourth quarter of 2022, while procurement for IT Specialist, and Training Module	<p><u>Actions taken</u> The PMU provided immediate responses to BAC comments and concerns to prevent further delays.</p> <p>Weekly meetings are being organized by BAC to hasten the process, especially for BMB Projects.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Traditional Knowledge in the Philippines (ABS) /DENR / GEF	Developer have been ongoing since the first quarter of 2023. Minor procurements (services below PHP 1 million) were also delayed. Procurement of Videographer and Social Media Specialist took 5 months.	<u>Actions to be taken</u> The PMU to continuously coordinate with BAC to fast-track processes.
3. Integrated Approach in the Management of Major Biodiversity Corridors in the Philippines Project (BD-Corridor Project)/ DENR/ GEF	Delayed procurement of consultants due to failure of biddings resulting from low turnout of applicants.	<u>Actions taken</u> Streamlining of procurement scheduling; Constant follow-up and tracking of procurement status; Request for UNDP CO Execution Support and elevated the concern to DENR officials. <u>Actions to be taken</u> The Project will continue its close coordination with BMB, FASPS, and UNDP to discuss and several options to facilitate procurement process
4. Enhancement of Marine Litter Management in Manila Bay (EMLM)/ DENR/ KOICA	Delay in the procurement of Marine Clean-up Vessel by the construction company due to non-attainment of required number of bidder/proposal received. The procurement of a marine cleanup vessel had two failed biddings.	<u>Actions taken</u> Procurement was undertaken by the Korea Marine Environment Management Corporation and KOICA. <u>Actions to be taken</u> DENR and KOICA to continuously find ways to resolve the procurement issues at hand.

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
5. Development Objective Agreement: Improved Health for Underserved Filipinos (DOAg IHUF)/ DOH/ USAID	Failure in bidding resulted in unnecessary delays to the procurement of Tuberculosis (TB) cartridges. DOH Disease Prevention and Control Bureau (DPCB) wanted the procurement of TB cartridges to be an open bidding when Government Procurement Policy Board (GPPB) advised that it could be a negotiated bidding since there is only one supplier in the country.	<p><u>Actions taken</u> USAID and Global Fund provided augmentation of commodities to mitigate the gap, while DPCB is still processing the procurement for the TB cartridges.</p> <p><u>Actions to be taken</u> DOH-DPCB to develop a good procurement plan and subscribe to the schedule set forth. DPCB may seek assistance/advice from other DOH units who are more knowledgeable on procurement process.</p>
6. New Cebu International Container Port Project (NCICPP)/ DOTr/ KEDCF	Delay in procurement of civil works contractor due to (a) higher bids than the approved agency cost estimate (ACE); and (b) unavailability of funds (project is not included in the FY 2023 GAA).	<p><u>Actions taken</u> In June 2023, DOTr sought the concurrence of KEDCF on the way forward for the funding and procurement for the civil works and quay crane contracts.</p> <p>KEDCF conditionally concurred on July 4, 2023 the proposed way forward. Meanwhile, On the unavailability of funds, DOTr submitted its request for Special Allotment Release Order (SARO) for the advance payment that will be charged against the FY 2023 UA on 7 September 7, 2023 which was not granted.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>As of December 2023, DOTr reported that it shall request once again for the issuance of SARO for the advance payment for the civil works and quay crane contracts once its proposal for project restructuring is approved by the ICC. The Notice of Award (NOA) and notice to proceed will be issued once the SARO is released.</p> <p><u>Updates</u> DOTr, through a letter dated January 22, 2024, submitted its updated restructuring request for the project, which entails (a) PHP 7.73 billion (84%) increase in TPC from PHP 9.195 billion to PHP 16.93 billion; (b) implementation period extension until June 2028; and (c) loan validity extension until June 21, 2028.</p> <p>DOTr has yet to respond to the February 2, 2024 and February 7, 2024 communications from the ICC Secretariat relaying its comments/recommendations on the restructuring proposal.</p>
7.	Given the delays encountered in the procurement of the civil works contractor, the project consultant's current contract duration is	<p><u>Actions taken</u> The consultant submitted its proposed contract time extension from July 2021 to</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
	no longer sufficient to carry out contract-related deliverables, i.e., provision of assistance in the bidding process for the EPC contractor, and in reviewing/addressing the comments of DENR Environmental Management Bureau on the ECC.	<p>May 2023 for work activities related to the provision of bidding assistance through variation order (VO) No. 01. In the said proposal, man-months for construction supervision were re-aligned to bidding assistance. Through memorandum dated December 15, 2023, the PMU requested the approval for the Undersecretary for Maritime on the proposed VO No. The request was returned to the PMU since the following has yet to be secured: (a) the concurrence of KEDCF; and (b) the approval by the ICC of the project restructuring proposal.</p> <p><u>Actions to be taken</u> DOTr-PMU to facilitate securing the concurrence of KEDCF on VO No. 1. DOTr to ensure submission of complete and compliant ICC requisite documents for its project restructuring request to facilitate the ICC review process.</p>
8. EDSA Greenways Project/ ADB	DOTr reported delays in civil works procurement due to (a) changes in bidding and procurement documents (because of changes in project implementation timelines); (b) changes in BAC composition; and (c) lack of budget cover for loan proceeds there was no budget appropriation in the FY 2023 GAA.	<p><u>Actions taken</u> ADB issued its No Objection on the revised bidding documents with updated cost and timelines as approved and included in the Annual Procurement Plan of DOTr. DOTr likewise requested and received additional allotment release for the project amounting</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>to PHP 654.74 million under the FY 2023 UA. However, when the procurement documents were submitted to the BAC for review and publication, the BAC required that ICC re-approval of the project be secured first (due to changes in its original parameters, such as implementation period and cost) before proceeding with subsequent procurement activities</p> <p><u>Actions to be taken</u> DOTr to conduct cost cutting, market sounding, and value engineering to reduce the TPC within the ICC-approved cost. DOTr to update the bidding documents accordingly.</p>
9.	DOTr reported a delay in the start of the services of the construction supervision consultant (CSC) due to (a) transfer of the responsibility for the procurement of consulting services it's to the PS-DBM; and (b) delay in the approval of the Expression of Interest (EOI) Evaluation Criteria for shortlisting	<p><u>Actions to be taken</u> DOTr to conduct cost cutting, market sounding, and value engineering to reduce the TPC within the ICC-approved cost. DOTr to update the bidding documents accordingly.</p>
10. Metro Manila Interchange Construction Project Phase VI	Failure of bidding and negotiation for the North Avenue/ Mindanao Avenue Interchange and C5/ Green Meadows/ Acropolis/ Calle Industria Interchange in view of the high bid submitted by the lone bidder.	<p><u>Actions taken</u> JICA in a letter dated March 10, 2022 to DPWH concurred the latter's proposal (through a letter dated October 21, 2021) to rebid the contract, for North/Mindanao</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
(MMICP VI)/ DPWH/ JICA		<p>Ave. Interchange while deferring restructuring of the interchanges.</p> <p>DPWH submitted a request to NEDA in November 2022 for change in project scope, cost, and extension of implementation and loan validity periods.</p> <p>The ICC-CC, in its August 18, 2023 meeting, decided to discuss anew the request of DPWH. DPWH to (a) repackage the proposal to replace the interchanges determined by DPWH to be non-implementable; and (b) coordinate with DOF on repurposing the JPY 7,929 million loan from JICA for the repackaged proposal, as instructed by the ICC-CC during the said meeting.</p> <p><u>Actions to be taken</u> DPWH to comply with the instructions of the ICC-CC and submit anew its restructuring requests.</p> <p><u>Updates</u> DPWH, through a letter dated March 27, 2024 submitted its restructuring requests. The documents are under review by the NEDA Secretariat.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
11.	Metro Manila Priority Bridges Seismic Improvement Project (MMPBSIP)/ DPWH/ JICA	Prolonged procurement due to non-concurrence of JICA in the Technical Bid Evaluation Report (TBER) for the procurement of civil works.	<p><u>Actions taken</u> DPWH, through a letter dated November 30, 2023, submitted its justifications and recommendations for JICA to concur the results of the technical bid evaluation.</p> <p><u>Actions to be Taken</u> To continue project implementation, DPWH will submit a restructuring request to the NEDA ICC, which includes (a) loan validity extension and implementation period extension; and (b) increase in cost.</p> <p><u>Updates</u> On the procurement of civil works. JICA finally issued its concurrence on the bid results on March 2, 2024. The DPWH Bids and Awards Committee immediately conducted the opening of bids after securing JICA's concurrence on the TBER. Subsequently, opening of the financial bids was conducted on March 12, 2024.</p> <p>In line with DPWH's restructuring requests which entails a loan validity extension, the ICC-CC, during its June 13, 2024 meeting, approved the extension of the JICA Loan No. PH-260 for the MMPBSIP from July 1,</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		2024 to December 31, 2024 to provide the Department sufficient time to prepare and submit the complete documentary requirements, facilitating full ICC review and action on the Department's requests.
12. Priority Bridges Crossing Pasig-Marikina River and Manggahan Floodway/ DPWH/ China Eximbank	Ongoing issue with the processing of the contract agreement with the CSC, which has been indefinitely withheld since 2021. As a result, the consultant, DSI Engineering Services, requested the following revisions from the procured services to: (1) replace their expatriates and local staff with equal and better qualifications; (2) upgrade and/or increase remuneration of personnel, and (3) escalate price of the reimbursable cost.	<p><u>Actions taken</u> The request to cancel the NOA to DSI Engineering Services has been approved by the Senior Undersecretary Emil K. Sadain, CESO I, through a memorandum dated April 4, 2023.</p> <p><u>Actions to be taken</u> DPWH to rebid the consultancy services for the construction supervision.</p>
13. Beneficiary Fast, Innovative, and Responsive Service Transformation C2 (BFIRST C1) / DSWD / WB	DSWD Procurement Management Division (PMD) identified gaps and challenges related to the procurement of consultants, including (a) challenges in the planning of the intended procurement projects, including the preparation of the various documents such as Work and Financial Plan (WFP), Project Procurement Management Plan (PPMP), Project Proposal, TOR, Market Study required for the processing of requests; (b) late submission of Purchase Requests (PRs) and other supporting	<p><u>Actions taken</u> DSWD conducted procurement training and capacity building to strengthen staff's capacity to undertake procurement of Dx-related projects.</p> <p><u>Actions to be taken</u> DSWD also intends to submit a restructuring proposal to extend the implementation period and validity of BFIRST component 2 to give ample time</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
	documents that resulted in lack of procurement lead time; and, (c) delayed processing of the Application Programming Interface (API) authentication.	for the completion of activities which were stalled due to procurement delays.
14. Enhancing biodiversity, maintaining ecosystem flows, enhancing carbon stocks through sustainable land management and the restoration of degraded forestlands (FLR)/ DENR /FAO	Delayed hiring/ engagement of the PMT members, National Project Manager (NPM), M&E Expert, National Administrative and Financial Assistant (NAFA), Regional Project Coordinators, and Regional Finance Staff.	<p><u>Actions taken</u> Reposting of the Request for Expression of Interest was undertaken. FMB closely coordinated with FAO for the immediate review and concurrence on the evaluated applicants (NPM, M&E Expert, NAFA).</p> <p><u>Actions to be taken</u> PMT (National and Regional) to conduct preparatory/ levelling-off activities with the field implementing units and other project stakeholders.</p>
15. Supporting Innovations in the Philippines TVET Systems (SIPTVETS) Project/ TESDA/ ADB	Lack of familiarity with the ADB Procurement Regulations and Policy caused delays in preparing procurement documents.	<p><u>Actions taken</u> ADB deployed a Procurement Consultant in September 2023.</p> <p><u>Actions to be taken</u> Training on ADB Procurement Regulations and Policy for TESDA BAC is scheduled in March 2024.</p> <p>Close coordination with the ADB Procurement Unit.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Site Condition/Availability

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Resolved		
1. GEF Global Opportunities for Long-term Development of ASGM Sector (GOLD): Contribution Toward the Elimination of Mercury in the Artisanal and Small-Scale Gold Mining (ASGM) Sector: From Miners to Refiners (GEF-GOLD)/ DENR/ GEF	The adverse weather conditions caused by the Northeast Monsoon (Amihan) delayed the completion of the Mercury-Free Processing System (MFPS) civil works. The deterioration of the access road has also slowed down the construction progress.	<u>Actions taken</u> The project team worked closely with the contractor to optimize the construction and installation working hours for the MFPS whenever weather conditions permit. The project team also monitors the weather forecast and adjusts the construction schedule to a much favorable weather.
2. Access to Sustainable Energy in the Philippines (ASEP)/ DOE/ EU, WB, and SIDA	Under Component 2: Investment Support (RNS Subcomponent), completing the activities at four power plant sites was challenging due to continuous rain at the sites.	<u>Actions taken</u> NPC requested WB for a one-month extension of the closing date of WB-NPC loan agreement to complete the commissioning and payment processes to run concurrently, with careful monitoring and timely support provided by WB to NPC. Since the extension only required an amendment of the WB-NPC grant, no action was needed from the Philippine government as there

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>would be no change in the EU-WB Administration Agreement and EU-Government Financing Agreement.</p> <p>WB, in its letter to NPC dated July 31, 2023, informed NPC that WB has designated August 31, 2023 as the revised closing date that aligns with Section IV.B.2 of Schedule 2 in the Grant Agreement.</p> <p>a. Luzon Bundle (Lots 1, 2 and 3) was dropped due to unsuccessful bidding;</p> <p>b. Visayas Bundle (Lots 4 and 5) was not completed by August 2023, however, the contractor committed to complete the construction beyond August 2023; and</p> <p>c. Mindanao Bundle (Lots 6 and 7) was completed as of August 31, 2023.</p>
3. Health System Enhancement to Address and Limit COVID-19 (HEAL)/ DOH/ ADB	Difficulty in securing necessary permits (i.e., building permits, ECC).	The project provided technical support to the recipient facilities on the timely completion of necessary requirements and documents to secure building permits. The PMT also maintained close coordination

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>with the recipient facilities, contractors, and LGUs to resolve issues and expedite the release of permits.</p> <p>On September 20, 2023, building permits for all 15 civil works projects have been secured. By end of the year, the construction of all 15 civil works projects is ongoing.</p>
4.	Health, Safety, Security and Environment (HSSE) issues encountered in the implementation of civil works (e.g., unexploded land mines)	<p>Site clearance was secured from the Philippine National Police (PNP) due to the possible presence of unexploded ordinances (UXO) from World War II at the Mariveles Mental Wellness and General Hospital.</p> <p>The PMT also conducted HSSE trainings and ensured that the HSSE protocols are in place.</p>
5.	North-South Commuter Railway Project (NSCR-N1)/ DOTr/ JICA	<p>The processing of documents for land acquisition and relocation of ISF was delayed due to the implementation of community quarantine from 2020 to 2021.</p> <p><u>Actions taken</u></p> <p>All ISFs within CP01 and CP02 were completely relocated with the last batch of seven ISFs relocated in Katuparan Village, Norzagaray Bulacan on January 10, 2023.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
6.	Chico River Pump Irrigation Project (CRPIP)/ NIA/ China Eximbank	The implementation of the Local Minor Contracts is delayed due to unfavorable weather conditions that prevailed in the project site.	<p><u>Actions taken</u></p> <p>The PMO advised the Contractors to submit catch-up plans and work on a crash program to cope with the delays and extent of the effects of the unfavorable weather conditions.</p> <p>As of December 2023, all remaining works have been completed.</p>
7.	Samar Pacific Coastal Road Project (SPCRP)/ DPWH/ KEDCF	The project was severely affected by adverse weather conditions.	<p><u>Actions taken</u></p> <p>The contractor simultaneously worked on the Portland Cement Concrete Pavement (PCCP) roads and bridges during days when the weather was good.</p>
Current			
1.	Implementation of Polychlorinated Biphenyl Management Program with Electric Cooperatives and Safe E-Waste Management (PCB-WEEE)/ DENR/ GEF	The TSD facilities encountered problems with the lack of storage room for the televisions and Cathode Ray Tubes (CRTs). The increase in the volume of televisions collected has led to the need for additional storage space.	<p><u>Actions taken</u></p> <p>The facility is waiting for the container van from the DENR to accommodate the increase in volume.</p> <p><u>Actions to be taken</u></p> <p>DENR to include a long-term solution in the Sustainability Plan of the project.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source			Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
2.	Philippines	COVID-19 Emergency Response Project (PCERP)/ DOH/ WB	The project experienced issues on the site readiness and approval of building permits for the civil works contracts due to difficulty complying with the requirements and regulations.	<p><u>Actions taken</u></p> <p>The project provided technical support on completing requirements for necessary documents and requested assistance from recipient facilities to secure building permit approval.</p> <p><u>Actions to be taken</u></p> <p>PMT to constantly follow up with the health facilities to comply with the submission of the required building permits to ensure that health facilities constructed under PCERP are compliant with the National Building code of the Philippines.</p>
3.	Non-Project Grant Aid (NPGA) providing health and medical equipment to prevent the spread of COVID-19/ DOH/ Embassy of Japan		Recipient hospitals were not ready to receive medical equipment.	<p><u>Actions taken</u></p> <p>Retrofitting of recipient facilities was made to appropriately install and operate the medical equipment (e.g., CT scan) considering the required specifications (e.g., area, tubing, air conditioning, etc.) of the room.</p> <p>A series of site monitoring visits together with the contractors were conducted to ensure progress in the retrofitting of hospital recipients.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<u>Actions to be taken</u> DOH/PMT to continue the close monitoring of the retrofitting progress to ensure timely delivery of the medical equipment.
4. Health System Enhancement to Address and Limit COVID-19 (HEAL)/ DOH/ ADB	Unfavorable weather conditions were experienced by civil work contractors.	<u>Actions taken</u> The weather conditions were regularly monitored, and contingency plans are in place should bad weather conditions happen. <u>Actions to be taken</u> PMT to continue monitoring weather conditions and contractors to request for suspension of works in a timely manner, as well as review all justifiable causes of delay including unfavorable weather conditions and process the time extension of the contracts.
5. New Bohol Airport Construction and Sustainable Environmental Protection Project II (NBACSEPP II)/ DOTr/ JICA	Delay in the completion of Deeds of Exchange (DEs) due to missing/ lacking technical documents from landowners/claimants.	<u>Actions Taken</u> Entry permits for the remaining twenty lots for barter were already issued by the landowners/claimants.

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>However, the preparation/finalization of the DEs cannot proceed due to lacking technical and legal documents (e.g., title, evidence of ownership, extra-judicial settlement) required from the landowners/ claimants.</p> <p><u>Actions to be taken</u> The Provincial Government of Bohol (PGBh) shall continue assisting landowners to complete the preparation of DEs for the 20 lots.</p>
6.	<p>Delay in the compensation for five remaining lots located near airport boundary fence (not directly affected by airport structures) due to missing technical documents from landowners/ claimants.</p>	<p><u>Actions taken</u> Entry permits for the five lots were already issued by the landowners/claimants.</p> <p>However, processing of the payment for the affected lots cannot proceed due to lacking technical and legal documents (e.g., title, evidence of ownership, extra-judicial settlement, etc.) required from the landowners/ claimants.</p> <p><u>Actions to be taken</u> The PGBh shall continue assisting landowners to complete the</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		compensation process for the five lots.
7. LRT Line 1 South Extension (L1SEP)/ DOTr/ JICA	Revocation by the Philippine Reclamation Authority (PRA) in 2018 of its earlier MOA with Light Rail Transport Authority (LRTA) on the free use of the former's properties for the project.	<p><u>Actions taken</u> DOTr coordinated with PRA regarding the acquisition of the concerned lots.</p> <p>DOTr formally requested action from PRA regarding the untitled lots which DOTr cannot legally acquire.</p> <p><u>Actions to be taken</u> DOTr to continue coordination with PRA to resolve the various acquisition processes pertaining to PRA properties that have different encumbrances.</p>
8.	<p>Delays were encountered in identifying and relocating affected utilities, including those managed by Maynilad, Meralco, and five telecommunications companies.</p> <p>Additionally, some project-affected utilities remain unidentified, awaiting the onboarding of the project Concessionaire's Engineering, Procurement and Construction EPC</p>	<p><u>Actions taken</u> For identified affected electric posts of Meralco under Package 1, the required utility relocation under 31 work orders was completed in December 2023.</p> <p>Regarding Packages 2 and 3, relocation of certain power, water, and telecommunications utilities has been suspended due to the ongoing</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
	<p>Contractor for Packages 2 and 3. This contractor will be responsible for conducting technical studies crucial for preparing essential documents required for ROW clearing, such as the Utilities Management Plan and finalized alignment.</p>	<p>finalization of the project alignment impacted by the DPWH C5 Flyover Project.</p> <p><u>Actions to be taken</u> DOTr to facilitate the execution of the MOA with MERALCO and Maynilad for the utility relocation works.</p> <p>DOTr to continuously coordinate with various telecommunication companies to ensure timely submission of relocation plans to facilitate the identification and relocation of affected utilities under Packages 2 and 3.</p> <p><u>Updates</u> The utility relocation plan of Meralco (Package 1) and Maynilad (Packages 2 and 3) was concurred by the project concessionaire on February 2, 2024 which shall allow DOTr and concerned stakeholders to proceed with the execution of the MOA with Meralco and Maynilad.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
9.	Delay in the acquisition of ROW affected by the project alignment.	<p><u>Actions taken</u></p> <p>For Package 2, 26 additional properties were identified for acquisition due to the change in the alignment and location of one of the stations caused by the current alignment of the C5-Quirino Flyover Project of the DPWH.</p> <p>For Package 3, four lots were already filed for motion to facilitate the issuance of WOP as of December 2023, two of which were already obtained while the WOP for the other two lots are pending issuance by the concerned Regional Trial Court (RTC).</p> <p><u>Actions to be taken</u></p> <p>LRTA to (a) facilitate the acquisition of the 26 additional properties under Package 2; and (b) continue monitoring the expropriation proceedings for the two remaining properties for acquisition under Package 1.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
10.	Delay in the delivery of Gen-4 trains due to unavailability of stabling tracks in Baclaran depot to accommodate the upcoming train sets.	<p><u>Actions taken</u> Four Gen-1 Light Rail Vehicles (LRVs) from LRT-1 Baclaran Depot were relocated to LRT-2 Santolan Depot to make way for the remaining Gen-4 LRVs for delivery</p> <p><u>Actions to be taken</u> DOTr to coordinate with the LRV contractor to facilitate the shipment of the nine remaining Gen-4 trains from Mexico to Manila by the end of 2024.</p>
11. Metro Manila Subway Project Phase I (MMSP I)/ DOTr/ JICA	Slow processing of filing of expropriation cases due to non-submission of documentary requirements of affected property owners.	<p><u>Actions taken</u> Several coordination meetings and letters were sent out by DOTr to communicate to the OSG the urgency of filing expropriation cases.</p> <p>As of December 2023, some cases did not push through as some owners decided to pursue negotiated sale. DOTr has filed the necessary requirements for the acquisition of lands.</p> <p><u>Actions to be taken</u> DOTr to continuously coordinate with relevant agencies to facilitate</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source			Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
				faster processing of land acquisition, may it be through the expropriation procedure or through negotiated sale.
12.	North-South Railway Project (NSCR-N1)/ DOTr/ JICA	Commuter	Delay in ROWA acquisition for CP01-Section 01 due to lots undergoing expropriation.	<p><u>Actions taken</u></p> <p>In view of the delays in ROWA, the contractor was requested to submit the cost implication of descoping CP01-Section 01 for the consultant's evaluation. Should DOTr be amenable to the evaluated cost, concurrence from JICA will be requested.</p> <p>Moreover, DOTr and NEDA conducted a technical consultation meeting on November 6, 2023 where it was agreed that DOTr shall strategize internally in requesting for the changes in the project such as the overall target completion date, adjustments on the TPC, and reallocation of loan proceeds, if necessary, before submitting to NEDA.</p> <p>As a result, DOTr will proceed with the request for approval on the</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>omission of Section 01 if the previous ICC-approved parameters are not affected.</p> <p><u>Actions to be taken</u> DOTr to comply with the required sufficient proof of ownership and other required documents to secure JICA's <i>concurrence on principle</i> on the proposed omission of Section 01. In parallel, DOTr shall submit the draft contract amendment for the DOTr Secretary's approval.</p>
13.	<p>Tutuban Station needs to be moved to a new location within the same alignment due to the current location's presumption of an Important Cultural Property (ICP).</p>	<p><u>Actions taken</u> DOTr explored shifting back the NCSR-N1 Tutuban Station to the location proposed in the 2015 project FS, wherein Tutuban Station was planned to be replaced as close as possible to CM Recto, near LRT Line 2 Recto Station (Line 2 West Extension).</p> <p>DOTr is awaiting JICA's approval of this alternative through DOTr's request for a No Objection Letter.</p> <p><u>Actions to be taken</u></p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		DOTr to continuously coordinate with the PNR Board to facilitate approval of the proposed new location for the Tutuban Station, subject to final approval by the DOTr Secretary.
14. Cebu Bus Rapid Transit (CBRT) Project/ DOTr/ WB and AFB	Prolonged drafting and approval of MOAs between DOTr and Cebu City Government (CCG) for the IT Park Turnaround facility and identification/use of terminal South Road Properties.	<p><u>Actions taken</u></p> <p>DOTr endorsed the draft MOA on the IT Park Turnaround facility to the CCG for concurrence. DOTr also coordinated with CCG in gathering the data needed for the corrective action plan.</p> <p>Meanwhile, the MOA on the identification/use of the terminal in the South Road Properties is awaiting approval from the CCG. In recent meetings between the DOTr and CCG, the latter requested compensation in acquiring the lot for a BRT Terminal. In parallel, the DOTr is in talks with SM Group as it</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>is interested in entering into a JV agreement with CCG in utilizing the said property to incorporate a BRT terminal in their developments.</p> <p><u>Actions to be taken</u> DOTr to closely coordinate with concerned agencies for the finalization of the two remaining MOAs.</p>
15.	<p>Delay in the demolition/removal of the affected Fuente and Cebu Normal University skywalks along Package 1 alignment due to (a) prolonged identification of rightful ownership; and (b) absence of documentary evidence from government.</p>	<p><u>Actions taken</u> DOTr closely coordinated with the LGU and various government agencies to ascertain the ownership of the affected properties.</p> <p><u>Actions to be taken</u> DOTr to facilitate the complete relocation of the skywalks by March 2024.</p> <p><u>Updates</u> DPWH was able to identify and establish the ownership of the concerned skywalks, which were then transferred to the CCG.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source			Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
				As of February 15, 2024, the PMO reported that the dismantling for the Fuente and Cebu Normal University skywalks are ongoing and targeted to be relocated by March 2024.
16.	EDSA Greenways Project/ DOTr/ ADB		Prolonged completion of utility relocation due to (a) delayed finalization of Utility Relocation Plans and MOAs by utility service providers due to several of comments and revisions from the service providers and consultants; (b) limitation in work hours approved by the MMDA (night works only); and (c) issues encountered on the validity of funds transferred to DPWH to facilitate utility relocation works.	<p><u>Actions taken</u></p> <p>Sixteen relocation plans were completed, of which, ten were already finalized.</p> <p>DOTr prepared all necessary documentation in preparation for a meeting with MMDA to discuss the Department's appeal for approval of a 24/7 work schedule along EDSA (Balintawak, Guadalupe, and Taft).</p> <p>DOTr also reported that review is ongoing on the draft updated MOA with DPWH incorporating the revised utility relocation cost, as well as the</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>draft Notice of Termination of the earlier executed MOA.</p> <p><u>Actions to be taken</u> DOTr to execute the remaining six MOAs.</p> <p><u>Updates</u> DOTr conducted meetings with MMDA in March 2024 to present the project's Temporary and Permanent Traffic Management Plans and Construction Methodologies of the project. MMDA is yet to provide an official response/letter on DOTr's request.</p>
17.	<p>Delays encountered in accessing 25 privately-owned-lots due to (a) titles with encumbrances with pending cases; (b) delayed submission of requirements to facilitate negotiations; and (c) non-acceptance of offer-to-buy which led to expropriation cases resulting in further delays.</p>	<p><u>Actions taken</u> DOTr conducted legal review on encumbrances of private titles and issued Notice of Expropriation to the owners of the affected lots, as well as reviewed the Deeds of Absolute Sale (DOAS) of four lots.</p> <p>DOTr also provided assistance to affected landowners in the completion of documentary requirements by sending endorsement letters to the</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source				Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
					<p>concerned LGUs, and other government offices.</p> <p><u>Actions to be taken</u> DOTr to (a) comply with the sufficient proof of ownership and other required documents in accordance with the recommendations of the DOTr Legal Affairs; (b) assist affected landowners in the completion of documentary requirements; and (c) facilitate the expropriation process for affected lots.</p>
18.	Davao Modernization (DPTMP)/ DOTr/ ADB	Public Transport Project		Delay in land acquisition due to (a) re-appraisal of affected lots as recommended by the approving offices; (b) pending expropriation cases; and (c) non-submission by owners of project-affected lots of the complete supporting documents to facilitate payment of compensation.	<p><u>Actions taken</u> DOTr facilitated the preparation of documents needed to procure the services of the LANDBANK to conduct the reappraisal of project-affected lots.</p> <p><u>Actions to be taken</u> DOTr to facilitate close coordination with the OSG to expedite the expropriation proceedings and continue gathering supporting documents from affected landowners to facilitate acquisition.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p><u>Updates</u> LANDBANK was commissioned and on boarded in January 2024 and has already provided DOTr with the result of the appraisal of the affected land.</p>
19. Arterial Road Bypass Project III (ARBP III)/ DPWH/ JICA	Contractor could not work on three roadway sections (Sta. 35+410 to 35+480, Sta.38+395 to 38+600 and Sta 39+625 to 39+720, with a total length of 370 m) as they were barricaded by the lot owner.	<p><u>Actions taken</u> DPWH sent a letter to the lot owner that explained that the lot will be acquired by the government with proper and just compensation, as provided in the ROW Act. The lot owner was given 30 days to decide whether to accept the compensation offered by the government.</p> <p><u>Updates</u> Following the lot owner's rejection of the offer, DPWH endorsed the matter dated January 19, 2024, to the OSG for filing of appropriate complaints. A corresponding time extension will be requested once the road right-of-way (RROW) problem is solved.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source				Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
20.	Davao	City	Bypass	Lacking/Incomplete documents submitted by affected lot owners.	<p><u>Actions taken</u></p> <p>The UPMO prepared a matrix of priority project affected persons (PAPs) with complete documents and ready for payment.</p> <p><u>Actions to be taken</u></p> <p>DPWH to continue to assist the affected lot owners to obtain the required documents for acquisition.</p>
21.				Failure to secure PTE for the affected lots under expropriation due to resistance from PAPs.	<p><u>Actions taken</u></p> <p>For CP I-1, partial WSO7 was issued effective July 18, 2023, in view of the pending resolution of the ROW problem at Lot Index 342 which was barricaded by the claimant, thus preventing entry to the aforesaid construction sites. WSO8 (partial) was also issued, effective September 7, 2023, until the approval of the proposed ground improvement at Operation Control Center building is obtained from the DPWH (this affects major works for Tunnel Electro-Mechanical Facilities).</p> <p><u>Actions to be taken</u></p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>DPWH to continue coordination with LGUs and lot owners to successfully acquire ROW.</p> <p><u>Updates</u> WSO5 (partial) was made effective on February 7, 2024, due to the resolution of the ROW problem at Lot Index 342.</p> <p>For CP I-1, partial WSO9 was made effective on October 1, 2023 due to pending resolution of ROW problem at Lot Index Nos. 312 to 331 which serve as passageways going through the construction site of Underpass 2 and other affected activities such as embankment and PCCP works on the roadway in Underpass 2, installation of power supply and communication lines and tunnel facilities testing and commissioning.</p> <p>DPWH Roads Management Cluster (RMC) 1 also requested assistance from LGUs in securing PTEs while</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source			Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
				waiting for the ongoing filing of cases to OSG.
22.	Metro Manila Interchange Construction Project Phase VI (MMICP VI)/ DPWH/ JICA		Deferred RROW acquisition for the affected portion of the Veterans Memorial Golf Course due to pending finalization of the draft MOA per agreed total area of acquisition and other undertakings stipulated therein.	<p><u>Actions taken</u> Meetings were held with DPWH Legal Service and DND-Office for Real Estate and Installations (DND-OREI) discussing the revised draft MOA for the proposed road widening affecting the Veterans Memorial Medical Center property along North Avenue. Also, RMC 1 met with DPWH-BOD for the approval on the design of the ROW.</p> <p><u>Actions to be taken</u> DPWH to continue collaboration/discussions with DND and other stakeholders.</p>
23.	Road Upgrading and Preservation Project (RUPP)/ DPWH/ JICA		Settlement of embankment at the bridge roundings near Abutment A. Refusal of some lot owners to accept the offer for negotiated sale which causes delays in the work schedule of Bohol Circumferential Road, CP 3: Reconstruction of Clarin Bridge.	<p><u>Actions taken</u> WSO9 was issued effective July 25, 2023 due to the embankment settlement at abutment rounding. The suspension is still in effect.</p> <p>Several meetings were held between DPWH, Contractors and BOD about findings of the latter on</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>Geotechnical investigations conducted on both rounding under bridge and its approaches.</p> <p>Actions to be taken DPWH will continuously follow up on submission of the submission of quotation for a confirmatory boring test from accredited firms to resume works.</p>
24.	Refusal of some lot owners to accept the offer for negotiated sale which causes delays in the work schedule of Bohol Circumferential Road, CP 3: Reconstruction of Clarin Bridge.	<p><u>Actions taken</u> DPWH-UPMO filed with Bohol RTC expropriation case for the affected lot owners to obtain WOP.</p> <p><u>Actions to be taken</u> DPWH to continue close coordination with concerned DEOs, the OSG and RTC for expropriation cases for the acquisition of RROW.</p>
25.	Road Network Development Project in Conflict Affected Areas in Mindanao (RNDP-CAAM)/ DPWH/ JICA	<p><u>Actions taken</u> For CP 3-B, there was an obstruction on Roads 9-1 and 13 due to existing residential fence along lined canal alignment, Lanao del Sur Electric Cooperative, Inc. (LASURECO) electrical post and heavy-duty transformer.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
	electrical post and heavy-duty transformer.	<p>Work Resumption Order No. 3 (WRO3) effective October 20, 2023, based on WSO3 caused by obstruction of LASURECO electric post/heavy duty transformer along Roads 9-1 and 13 and WSO4 effective October 26, 2023, due to pending approval from the BOD of the revised plans (e.g., replacement of coconet to grouted riprap and additional extra work order).</p> <p><u>Actions to be taken</u> The Contractor to submit shop drawings/revised plan and to include the affected works in the As-built plans per requirement of DPWH RMC 1 (B).</p> <p><u>Updates</u> The revised plans were returned to the contractor on January 24, 2023 for its compliance with BOD's comments/corrections.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
26. Road Network Development Project in Conflict Affected Areas in Mindanao (RNDP-CAAM)/ DPWH/ JICA	Unfavorable weather conditions were experienced in the project area.	<p><u>Actions taken</u> DPWH advised the contractors to fast track the progress of work on days when the weather is good.</p> <p><u>Actions to be taken</u> DPWH to closely monitor the contractors' progress and ensure proper countermeasures for heavy rainfall on site and heighten safety precautions to avoid accidents.</p>
27. Samal Island-Davao City Connector Project (SIDCCP)/ DPWH/ China Eximbank	Resistance/non-cooperation of the PAPs during the ROW acquisition	<p><u>Actions taken</u> All ten lots in Samal Island and four in Davao City have been turned over to the DPWH through expropriation. This transfer was made in compliance with a Writ of Possession issued by the relevant RTC.</p> <p><u>Actions to be taken</u> DPWH to file expropriation cases if the PAPs are resisting or not cooperating, while facilitating the processing of payments for ROW.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source				Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
28.				Delay in Soil Investigations resulting in delay of Geotechnical Investigation Report, DED Plans and Reports, and ultimately delay in the Civil Works Implementation.	<p><u>Actions taken</u> Partial submission and approval of DED Plans.</p> <p><u>Actions to be taken</u> DPWH to coordinate the completion of the Geotechnical Investigation and Reports, and the submission and approval of DED Plans.</p>
29.	Metro Manila Flood Management Project, Phase I (MMFMP)/ DPWH/ WB and AIIB			DPWH encountered ROW and land issues in project areas of the pumping stations for rehabilitation under Component 1. As a result, DPWH, MMDA, WB and AIIB decided that only nine out of the 20 stations may be feasible for construction. However, following the technical mission conducted in November 2023, the parties have decided to further cancel five pumping stations (Buhangin, Matalahib-Talayan, Tatalon II, Tatalon III, and F. Manalo), considering (a) negotiations were still being undertaken with the private landowners; and (b) limited time to complete construction prior to the project's loan expiry in November 2024.	<p><u>Actions taken</u> DPWH and MMDA will continue rehabilitation of four pumping stations, namely, Tatalon I, Doña Imelda, Roxas I, and Roxas II.</p> <p>DPWH and MMDA, through a joint letter to NEDA dated June 27, 2023, submitted their restructuring requests for change in scope due to the reduction in target pumping stations, as well as extensions of implementation period and loan validity, and increase in cost. The agencies, through a letter to NEDA dated November 22, 2023, submitted an updated restructuring proposal for the project, which now included a request for partial loan cancellation.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
30. Pasig Marikina River Channel Improvement Project, Phase IV (PMRCIP IV)/ DPWH/ JICA	Delay in the resettlement of ISFs in Cainta and Taytay affected by works under CP 1 (Marikina River Channel Improvement Works, including construction of Manggahan Control Gate Structure, Cainta Floodgates and Taytay Sluiceway in Manggahan Floodway).	<p><u>Actions taken</u> DPWH, in coordination with the National Housing Authority (NHA), proposed to the Cainta LGU a revision of the resettlement policy, shifting from In-City to Off-City Relocation.</p> <p><u>Actions to be taken</u> DPWH and NHA will propose Off-City Relocation of ISFs to Taytay LGU.</p>
31. Improving Growth Corridors in Mindanao Road Sector Project (IGCMRSP)/ DPWH/ ADB	Adverse weather conditions caused by low pressure area, shear line and typhoon resulting in additional unworkable days and limited construction activities on-site.	<p><u>Actions taken</u> The contractors submitted a catch-up plan and mobilized additional equipment and resources to fast-track the execution of activities. DPWH approved their request for contract time extension for the affected projects.</p> <p><u>Actions to be taken</u> DPWH to continuously monitor the progress accomplishment of the projects</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
32. New Centennial Water Source-Kaliwa Dam Project (NCWS-KDP)/ MWSS/ China Eximbank	Difficulties in securing the lots and ROW at the tunnel alignment and tunnel outlet portal. Thirteen lots require acquisition to be used for the Tunnel Outlet Portal Site. The NCWS-KD PMO has worked on the acquisition of the lots since 2019, however, due to the COVID-19 pandemic, acquisition was delayed due to irregular operation of the court handling the expropriation cases of the lots. The delay in the acquisition of the lots resulted in the delay in the delivery of the Tunnel Boring Machine and other pre-construction activities.	<p><u>Actions taken</u> MWSS closely coordinated with the Morong RTC to facilitate the schedules of hearings.</p> <p><u>Actions to be taken</u> MWSS to continuously coordinate with the Morong RTC on the schedule of the next hearings for the expropriation of the nine lots for the tunnel outlet portal.</p> <p><u>Updates</u> The 13 lots for the tunnel portal site have been acquired by MWSS. The status are as follows:</p> <ol style="list-style-type: none"> Title of four lots have been transferred to MWSS; and Nine lots are under expropriation - titles of two lots are for transfer to MWSS, while WOP for seven lots were already issued to MWSS. <p>For the seven lots with WOP, the original lot owners already vacated the lots and MWSS can now utilize the said lots for the construction of the tunnel outlet.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
33. Chico River Pump Irrigation Project (CRPIP)/ NIA/ China Eximbank	Non-payment of ROW in Cagayan area due to difficulty of private property owners to produce necessary documents/ requirements pursuant to Republic Act (RA) 107523 (ROW Act) and as per COA rules/regulations.	<p><u>Actions taken</u></p> <p>A MOA/MOU is being executed by and between NIA-CRPIP PMO and PAPs for the submission of documentary requirements in accordance with Section 2 (e) of Presidential Decree 5524 wherein the PAPs will be given five years to submit all the necessary documentary requirements. After which, if the landowner fails to submit the necessary documents, the right of possession and/or ownership of NIA to the property shall be considered vested and absolute. As of December 2023, 452 out of a total of 722 PAPs already signed the MOA/MOU.</p> <p>The settlement of payment of ROW of PAPs was already turned over to the NIA Regional Office II.</p> <p><u>Actions to be taken</u></p> <p>NIA Regional Office II to facilitate the settlement of payment of ROW of</p>

³ An Act Facilitating the Acquisition of Right-Of-Way, Site or Location for National Government Infrastructure Projects (March 7, 2016)

⁴ Amending Certain Sections of Republic Act Numbered Thirty-Six Hundred and One, entitled, "An Act Creating the National Irrigation Administration" (September 11, 1974)

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source			Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
				PAPs through the execution of the MOA/MOU.
34.	Jalaur River Multipurpose Project, Stage II (JRMP II)/ NIA/ KEDCF		Delay in construction of the highline canal (HLC) due to slope failures in cuts and embankments. The Contractor considers the cause of failures as a design issue and proposes to flatten the side slope to 1.5H:1V. However, the PMO considers the failures as isolated cases and should be addressed on a case-by-case basis	<p><u>Actions taken</u></p> <p>Meetings were held in December 2023 between NIA, JRMP II PMO, the consultant (Korea Rural Community in JV with Saman Corp. & Dasan Consultants Co., Ltd.), and the contractor (Daewoo Engineering & Construction Co., Ltd.) to discuss EDCF's findings on slope failure based on the fourth quarter CY 2023 monitoring mission conducted on December 4-13, 2023.</p> <p><u>Actions to be taken</u></p> <p>As agreed between JRMP II, the consultant, and the contractor during</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>a meeting on December 11, 2023, a joint site validation will be conducted to determine the total length of the HLC with slope failures.</p> <p><u>Updates</u></p> <p>NIA, during a technical meeting convened by NEDA on January 22, 2024, presented its responses to the findings raised by the EDCF's Environmental and Social Impact Monitoring Team and actions taken relative to the slope failure issues (i.e., slope mitigation works in structures along the HLC, and issuances of instructions for the contractor's compliance).</p> <p>Following the fourth quarter CY 2023 monitoring mission conducted by KEXIM on March 18-22, 2024, KEXIM raised that fully completing the HLC by September 2024 is not feasible given the scope of work in consideration of a necessary redesign of the HLC and the persistent ROW issues experienced in the area.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>NIA prepared an enhancement of the design of sections of the HLC with slope instability with a total length of 2.4 km. The Contractor was required to submit a catch-up plan on implementing the enhanced HLC design.</p> <p>As of May 2024, both the PMO and the Project Coordination Office of NIA are still finalizing the restructuring proposal for the JRMP II.</p>
35.	<p>Persistent ROW issues and related expropriation cases due to (a) resistance of Project Affected Families (PAFs) to permit traversing of their lots because of the low compensation offered compared to the current market value based on the BIR zonal valuation; (b) unavailable requisite documents to prove the legal ownership of affected lands; and (c) failures in negotiations.</p>	<p>Actions taken</p> <p>On the expropriation cases, eleven were already filed with the court (two of which were granted with Writ of Possession, one was settled amicably, and eight are due for court proceedings); one is under review of NIA CO; and five have corresponding complaints subject for review by the PMO.</p> <p>Actions to be taken</p> <p>The PMO to consolidate documents for other expropriation cases to be filed.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>Updates</p> <p>As of May 31, 2024, 12 expropriation cases were already filed with the court (five of which were granted with Writ of Possession, one was settled amicably, and six are due for court proceedings); three are for filing with the court; and two have corresponding complaints subject for review by the PMO.</p>
<p>36. Supporting Innovations in the Philippines TVET Systems (SIPTVETS) Project/ TESDA/ ADB</p>	<p>Difficulty of concerned stakeholders of project sites in producing usufruct agreements, deeds of donation, transfer certificates of title, and other documents in adherence to ADB Safeguard Policy and agreed selection criteria.</p>	<p><u>Actions taken</u></p> <p>Ongoing assessment of new project sites in accordance with ADB Safeguard Policy and agreed selection criteria.</p> <p><u>Actions to be taken</u></p> <p>Presentation of new project sites to the new management of TESDA expected to assume office by July 2024.</p>

Budget and Funds Flow

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Resolved			
1.	Philippine Customs Modernization Project (PCMP)/ BOC/ WB	The project did not receive an allocation for the project's budget under loan proceeds amounting to PHP 876.50 million, which is 75 percent of the entire budget requirement of the project reflected in the FY 2023 National Expenditure Program (NEP).	<u>Actions taken</u> DBM issued on April 4, 2023 SARO No. SARO-BMB-A-23-0015622 under the FY 2023 Unprogrammed Appropriations- Support to Foreign-Assisted Projects (UA-SFAPs) amounting to PHP 1.45 billion to cover the loan proceeds requirement of the project.
2.	Improved Ecosystem Services and Reduced Vulnerability to Climate Change through Ecosystem-based Management and Application of Ecosystem Values in Two River Basins in the Philippines (E2RB)/ DENR/ GIZ	Delayed release of the grant's second tranche due to the delayed submission of the liquidation reports.	<u>Actions taken</u> The GIZ closely coordinated with the Local Subsidy implementers/proponents to expedite the submission of the liquidation report, thereby facilitating the release of the remaining funds for implementation.
3.	Maritime Safety Enhancement Project (MSEP)/ DOTr/ KEDCF	The request for early procurement activity intended for the consulting services was not signed by DOTr since the project has no approved loan proceed allotment under the FY 2021, FY 2022 and FY 2023 GAA. Moreover, the Department's SARO request under the FY 2023-UA was not granted.	<u>Actions taken</u> DOTr, through a letter dated August 14, 2023, requested for the amendment of the loan agreement for the continuation of the project's procurement process. Through a letter dated September 8, 2023, the KEDCF approved the extension of the deadline for the

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
	<p>Given the delays in procurement due to the unavailability of project budget, there's a need for DOTr to request for a clause amendment in the loan agreement where it states that the loan will be cancelled if the Department fails to procure a general consultant by September 8, 2023.</p>	<p>procurement of goods and services from September 9, 2023 to September 9, 2024.</p> <p>DOTr requested for loan proceed allotment through SARO amounting to PHP 94.99 million under FY 2023 UA which was subsequently approved by DBM on February 2, 2023.</p> <p><u>Actions to be taken</u> DOTr to facilitate awarding of the contract for the project's general consultant not later than September 9, 2024.</p>
<p>4. Water District Development Sector Project (WDDSP)/ LWUA/ ADB</p>	<p>The delay in releasing project funds to Water Districts (WDs) was primarily caused by the absence of a designated Administrator for LWUA and the limited authority granted to the Officer-In-Charge since March 10, 2022. Consequently, all requests for fund release to WDs with approved contracts under the project needed approval from the LWUA Board of Trustees (BOT).</p>	<p><u>Actions taken</u> A new Administrator was appointed in February 2023. LWUA BOT has approved the issuance of a Resolution authorizing the Administrator to sign amendments to the loan agreement.</p> <p>On May 23, 2023, LWUA submitted restructuring proposal for WDDSP involving the following: (a) extension of implementation period (from April 30, 2024 to December 31, 2025) and loan validity (from December 31, 2020 to June 30, 2025); (b) change in scope;</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>(c) change in cost (from PHP 2,605.80 million to PHP 3,033.23 million); (c) and partial loan cancellation of USD 14.59 million.</p> <p>The said requests were approved by the ICC-CC during its meeting on June 26, 2023, and confirmed by the NEDA Board on December 14, 2023.</p> <p><u>Updates</u> LWUA facilitated the processing of payments for the completed water supply subprojects of the Polomolok and Manolo Fortich, and award contracts to water districts. As of January 22, 2024, LWUA downloaded PHP 68.72 million to Manolo Fortich WD, and PHP 18.19 million to Polomolok WD.</p>
Current		
1. Local Governance Reform Project (LGRP)/ BLGF/ ADB	Since the project began implementation in 2020, the LGRP has consistently faced budgetary challenges, with insufficient allocations to cover its budget requirements each fiscal year. In 2023, the project received a total appropriation of PHP 44.58 million, representing only 14 percent of the PHP	<p><u>Actions taken</u> To address this shortfall, BLGF promptly requested budget augmentation from the UA-SFAPs to offset the deficit, resulting in an additional PHP 15.92 million for project activities in 2023. However, the project encountered another budgetary</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
	304.96 million budget requirement outlined in the FY 2023 NEP.	<p>shortfall in 2024, as it did not receive any allocation for the fiscal year.</p> <p><u>Updates</u> BLGF has submitted a request for PHP 833.67 million under UA-SFAPs for FY 2024 and is currently awaiting approval from DBM.</p>
2. Support to Parcelization of Lands for Individual Titling (SPLIT)/ DAR/ WB	Loan disbursement and utilization remain very low considering the 76.30 percent time elapsed. Based on the forecast, unused funds under the loan proceeds by end of 2024 shall be USD 180 million (PHP 9.45 billion). Moreover, funds downloaded to the Field Offices amounting to PHP 1.15 billion are yet to be liquidated.	<p><u>Actions taken</u> On the liquidation of downloaded funds to the Field Offices, DAR, through its Finance and Management Service, provided training and assistance to DAR Regional Offices in preparing documentation through a series of roadshows and orientation held during the fourth quarter of 2023.</p> <p>Despite the low fund utilization, DAR requested additional budget under the FY 2024 UA-SFAPs to cover parcelization activities programmed in 2024.</p> <p><u>Actions to be taken</u> The SPLIT CPMO to closely monitor the fast-tracking of project implementation and payment</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>processing to significantly improve loan utilization.</p> <p><u>Updates</u> Per DBM's response on February 12, 2024 to DAR's letter dated January 25, 2024 requesting DBM's issuance of SARO amounting to PHP 3.70 billion chargeable to UA-SFAPs for FY 2024, release of funds may only be facilitated once the proposed extension of the implementation period has been approved.</p> <p>DAR, through a letter dated April 1, 2024, submitted its updated restructuring proposal indicating a 48-month extension of implementation period (from January 1, 2024 to December 31, 2027) and a 36-month extension of loan validity (from January 1, 2025 to December 31, 2027). Said requests were approved by the ICC-CC and confirmed by the NEDA Board during their meetings on May 7, 2024 and May 15, 2024, respectively.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>Accordingly, a revised loan disbursement projection has been prepared for CY 2024-2027 and utilization of funds is expected to increase as DAR has improved its procurement process and adopted measures to expedite project implementation. In terms of loan performance, cumulative drawdown from WB would increase from USD 126.35 (34.15% utilization) as of December 2023 to USD 148 million (40% utilization) given DAR's withdrawal application submitted to WB on May 31, 2024.</p>
<p>3. Ensuring Sustainable Benefits from Peatland through Protection and Wise Use (ESBenePeat)/ DENR/ GIZ</p>	<p>The previous request of BMB for the release of second tranche did not materialize since the donor agency, i.e., GIZ required the signing of Supplemental Grant Agreement by both parties as basis for fund release.</p>	<p><u>Actions taken</u> On 22 November 2023, BMB recommended implementing units/offices the termination of all activities to avoid incurring additional costs for services being rendered and halt the accumulation of payables.</p> <p>The said agreement between DENR and DOF was only signed in December 2023 which was already near the project completion date.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p><u>Updates</u> As for the final requirement set by GIZ during a clarificatory meeting last January 9, 2024, an updated request on fund release and the latest financial statement of the project should be submitted by BMB to GIZ.</p>
<p>4. Teacher Effectiveness and Competencies Enhancement Project (TEACEP)/ DepEd/ WB</p>	<p>The requested budget for 2024 was not included in the FY 2024 GAA but was classified instead under the UA which affected commencement of TEACEP activities.</p>	<p><u>Actions taken</u> The available GPH counterpart fund was utilized to support the implementation of initial project activities.</p> <p><u>Actions to be taken</u> DepEd will request the release of budget for the project under FY 2024 UA. DepEd Project Management Service (PMS) initiated on March 20, 2024 the request of SARO for the loan component for 2024 activities.</p>
<p>5. New Communications Navigation, Surveillance and Air Traffic Management Systems Development Project (CNS-ATM)/ DOTr/ JICA</p>	<p>The project has no budget allotment in the FY 2024 GAA.</p>	<p><u>Actions taken</u> In February 2023, the NEDA Board approved the expansion of the project scope to include the utilization of the PHP 2.12 billion JICA loan balance for the CNS-ATM Maintenance and</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>Resiliency Enhancement that shall cover the maintenance contracts, ultimate fallback system, and the FS for the independent back-up system. Said The NEDA Board approval includes a 60-month loan validity extension until 2028.</p> <p>DOTr, in its FY 2024 NEP, indicated a budget requirement amounting to PHP 1,253.80 million for 2024. However, the said amount was not included in the FY 2024 GAA.</p> <p><u>Actions to be taken</u> DOTr to request for PHP 62.40 million budget under the FY 2024 UA.</p>
6. New Bohol Airport Construction and Sustainable Environmental Protection Project (NBACSEPP II)/ DOTr/ JICA	Unavailability of approved FY 2022 and FY 2023 budget to cover remaining fund requirements to facilitate final payment for the civil works and consulting services contracts of the project.	<p><u>Actions taken</u> DOTr requested budget under FY 2023 UA amounting to PHP 97.46 million. However, the said request was not accommodated/approved.</p> <p>The same budgetary requirement was again requested by the Department for FY 2024. Again, no line item appropriation was approved for the project.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source				Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
					<p><u>Actions to be taken</u></p> <p>DOTr is preparing a new request for allotment chargeable against the FY 2024 UA for submission to the DBM.</p> <p>The requested loan proceed allotment to finance the civil works contractor's final price escalation and the proposed fourth VO for consulting services was listed as chargeable against the FY 2024 UA.</p>
7.	Maritime Safety Capability Improvement Project Phase I (MSCIP I)/ DOTr/ JICA			The project has no budget allotment in the FY 2024 GAA.	<p><u>Actions to be taken</u></p> <p>DOTr to request a total amount of PHP 133.81 million budget under the FY 2024 UA.</p>
8.	Maritime Safety Enhancement Project (MSEP)/ DOTr/ KEDCF			Delay in the transfer of funds to the PCG for the procurement of consultancy services for the conduct of an Environmental and Impact Assessment (ESIA) Study for MSEP. The ESIA Study is a requirement for the issuance of ECC for the project.	<p><u>Actions taken</u></p> <p>The PCG-PMO, through a letter dated August 15, 2023, submitted for the approval by the Undersecretary for Maritime its request for legal advice on the MOA on the transfer of funds for the conduct of the ESIA Study.</p> <p>DOTr Legal Affairs returned the request on September 26, 2023</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>pending submission of complete and correct attachments and revised memorandum/facts.</p> <p>The PCG-PMO complied with the instructions and forwarded the request to Legal Affairs on October 25, 2023.</p> <p><u>Actions to be taken</u> PCG-PMO to coordinate with the DOTr Legal Affairs on the finalization of the MOA to facilitate the transfer of funds to the PCG for the conduct of the ESIA Study.</p>
<p>9. New Cebu International Container Port Project (NCICPP)/ DOTr/ KEDCF</p>	<p>Delay in land acquisition activities in Consolacion Cebu (e.g., conduct of parcellary survey to identify PAFs) due to unavailability of funds.</p>	<p><u>Actions taken</u> The second tranche fund transfer amounting to PHP 66 million was deposited to the LGU's account on December 29, 2023 with the completion of the property appraisal</p> <p><u>Actions to be taken</u> DOTr-PMU to facilitate payment of lots using the current available allocation amounting to PHP 132 million. DOTr-PMU to prepare a new MOA with the LGU of Consolacion Cebu for additional budget for land acquisition.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
10.	The project has limited budget allotment in the FY 2024 GAA.	<u>Actions taken</u> N/A <u>Actions to be taken</u> DOTr to request for PHP 4,687.37 million budget under the FY 2024 UA.
11. LRT Line 1 South Extension (L1SEP)/ DOTr/ JICA	The project has no budget allotment in the FY 2024 GAA.	<u>Actions taken</u> N/A <u>Updates</u> DOTr, through a letter dated March 14, 2024, submitted its request to DBM for PHP 2.13 billion under the FY 2024 UA.
12. LRT Line 2 East Extension (L2EEP)/ DOTr/ JICA	The project needs ICC approval for the extension of the project's implementation period to facilitate the processing of remaining contractor billings until 2024.	<u>Actions Taken</u> DOTr, through a letter dated April 11, 2023 officially requested for an extension of implementation period for the project. DOTr, through a letter dated September 4, 2023, submitted all ICC requisite documents to facilitate full review of the request. The ICC Secretariat submitted a preliminary report to the ICC Core Secretariat on October 25, 2023. The same was shared to the ICC-TB

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>members during the November 14, 2023 ICC-TB meeting.</p> <p>Through a letter dated December 7, 2023, DOTr submitted the following: (a) its response to the issues and concerns raised by the ICC Secretariat in the Preliminary Report; and (b) an additional request for change in scope and decrease cost for the project.</p> <p>The ICC Core Secretariat, through a letter dated December 13, 2023, requested the additional ICC requisite documents given the additional requests submitted by DOTr.</p> <p><u>Actions to be taken</u> DOTr to submit its request for project restructuring with complete and compliant ICC requisite documents to facilitate ICC review/approval.</p> <p><u>Updates</u> DOTr, through a letter dated February 14, 2024, requested for ICC notation on the decrease in TPC and change in scope.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>The ICC Secretariat and DOTr conducted a technical meeting on March 15, 2024 to discuss the proposed request. The ICC Secretariat likewise transmitted a letter to DOTr on March 18, 2024 providing the summary of agreements for appropriate response/action of DOTr.</p> <p>The ICC Secretariat set March 26, 2024 as the deadline for DOTr to provide its official response on the ICC Secretariat's comments. As of April 17, 2024, DOTr has yet to provide its response.</p>
13.	The project has no budget allotment in the FY 2024 GAA.	<p><u>Actions taken</u> N/A</p> <p><u>Actions to be taken</u> DOTr to request for PHP 584.40 million budget under the FY 2024 UA.</p>
14. Metro Manila Subway Project Phase I (MMSP I)/ DOTr/ JICA	<p>Low/limited budget allocation for GPH counterpart in the FY 2023 GAA for ROW acquisition, and zero allocation for loan proceeds.</p> <p>Per the FY GAA 2023, PHP 11.26 billion is allocated for the project. However, the</p>	<p><u>Actions taken</u> DOTr continuously monitors disbursements under the GPH counterpart. DBM issued a SARO amounting to PHP 10 billion covering the outstanding payment and first quarter of 2023 contract claims, and an</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
	said amount is insufficient to cover the contract's VAT and ROW acquisition requirements, which may delay payment for ROW acquisition and contractors.	additional SARO amounting to PHP 24 billion for the remaining requirements for the year (second to fourth quarters of 2023) <u>Actions to be taken</u> DOTr to continuously coordinate with the DBM on the issuance of the requested additional SAROs. DOTr-PMU to prepare a request to tap DOTr's Common ROW Fund amounting to PHP 12 billion.
15.	The project has no budget allotment in the FY 2024 GAA.	<u>Actions taken</u> N/A <u>Actions to be taken</u> DOTr to request for PHP 67,343.19 million budget under the FY 2024 UA
16. Philippine National Railways (PNR) South Long Haul Project (PNR-SLHP)/ DOTr/ China Eximbank	The project has no budget allotment in the FY 2024 GAA.	<u>Actions taken</u> N/A <u>Actions to be taken</u> DOTr to request for PHP 8,532.70 million budget under the FY 2024 UA.
17. Cebu Bus Rapid Transit (CBRT) Project/ DOTr/ WB and AFD	The project has no budget allotment in the FY 2024 GAA.	<u>Actions taken</u> N/A <u>Actions to be taken</u>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source			Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
				DOTr to request for PHP 3,081.29 million budget under the FY 2024 UA.
18.	Davao	Public Transport Modernization Project (DPTMP)/ DOTr/ ADB	The absence of the GPH counterpart for the project under the FY 2024 GAA, hampered the hiring of the PMO to meet its staff complement requirement of 157 personnel.	<p><u>Actions taken</u> In December 2023, the PMO coordinated with DOTr's Administrative and Finance Group to address the project's funding strategy and the need to expedite the hiring of additional personnel</p> <p>The PMO continues to pool applicants for critical positions.</p> <p><u>Actions to be taken</u> DOTr to work closely with the Davao City Government to cover the costs in implementing various activities under the Social Development Program committed by the LGU and to explore the feasibility of using LGU funds for the implementation of the project.</p>
19.			The project has no budget allotment in the FY 2024 GAA.	<p><u>Actions taken</u> N/A</p> <p><u>Actions to be taken</u></p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>DOTr to request for PHP 10,355.49 million budget under the FY 2024 UA.</p> <p><u>Updates</u> DOTr through a letter dated March 26, 2024, requested DBM for SARO amounting to PHP 15,408.61 million budget under the FY 2024 UA to finance all land acquisition activities and partial completion of civil works.</p>
<p>20. Improving Growth Corridors in Mindanao Road Sector Project (IGCMRSP)/ DPWH/ ADB</p>	<p>Insufficient funds released contributing to the delays in the construction progress.</p>	<p><u>Actions taken</u> DPWH requested from DBM the release of LP under the FY 2023 UA. DBM released SAROs totaling PHP 2,660.79 million broken down into PHP 2,530.792 million (LP) and PHP 130 million (GPH).</p> <p>Out of the total released by the DBM, PHP 2,187.808 million was disbursed under LP and PHP 82.01 million was disbursed under GPH funds, reflecting a disbursement rate of 86.45 percent and 63.09 percent, respectively. The remaining undisbursed budget will be utilized within the second quarter of 2024.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source				Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
21.	Panguil Bay Bridge Project (PBBP)/	DWPH/	KEDCF	The insufficient release of funds significantly contributed to the delays in the construction progress.	<p><u>Actions taken</u></p> <p>DPWH requested from DBM the release of loan proceeds from the FY 2023 UA.</p> <p>DBM released SAROs amounting to PHP 1,375.500 million, broken down into PHP 1,192,500 million (LP) and PHP 180 million (GPH).</p> <p>Out of the total released by the DBM, PHP 1,064.30 million was disbursed under LP and PHP 177.18 million was disbursed under GPH funds, reflecting a disbursement rate of 89.25 percent and 98.44 percent, respectively.</p> <p><u>Actions to be taken</u></p> <p>The remaining undisbursed budget will be utilized within the second quarter of 2024.</p>
22.	Samar Pacific Coastal Road Project (SPCRP)/	DWPH/	KEDCF	The KEXIM loan expired on December 17, 2023, however, final payments need to be made to the contractor.	<p><u>Actions taken</u></p> <p>The contractor has done simultaneous works for PCCP and bridges during good weather conditions in order to improve project performance.</p> <p><u>Updates</u></p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		On January 9, 2024, the ICC-CC approved ad referendum the extension of loan validity of the KEXIM loan from December 18, 2023 to April 30, 2024.
23. ASEAN Regional Integration Support – Philippines Trade-Related Assistance (ARISE Plus – Philippines)/ DTI/ EU	It has been projected that the project will incur a significant budget shortfall of approximately more than 60% of its allocated budget for its final year of implementation due to fluctuations in foreign exchange rates. This is expected to significantly impact on the progress of remaining project activities until its proposed extended completion date.	<p><u>Actions taken</u> The project team's approach involves prioritizing activities according to the workplan endorsed in early 2023.</p> <p><u>Actions to be taken</u> The project team to work on cost-cutting measures to address the shortfall estimated to be around USD 800,000.</p>
24. Rural Agro-Enterprise Partnership for Inclusive Development and Growth (RAPID Growth) Project/ DTI/ IFAD	The project did not receive any allocation for FY 2024, significantly affecting the implementation of subsequent activities.	<p><u>Actions taken</u> DTI prioritized the budget releases and expedited the payments/ disbursements under its previous budget allocations to be able to justify the request of funds under the FY 2024 GAA UA-SFAPs.</p> <p>Alongside these efforts, DTI has also secured the approval from the NEDA Board in January 2024 to extend the project's implementation period and loan validity to ensure continuous budgetary support necessary to</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>deliver committed targets. NEDA Board also approved the request for a change in scope on the condition that DTI will provide clarification on the appropriate costing for FMRs (in coordination with DPWH) to further justify the reduction of target kilometers. In compliance with this directive, DTI has already submitted a response and corresponding supporting documents, along with the remaining ICC requisite document (i.e., BARMM Economic Development Council endorsement of the restructuring request).</p> <p><u>Actions to be taken</u> DTI to continue close coordination with the DBM regarding the requirements for the release of budget under UA-SFAP. Additionally, DTI should maintain close communication with the DPWH regarding the proper targets for the FMRs under the project. This coordination is crucial to ensure that the project receives the necessary budgetary support and that the targets</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		for FMRs are appropriately determined and justified.

Inputs and Costs

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Resolved		
1. Access to Sustainable Energy in the Philippines (ASEP)/ DOE/ EU, WB, and SIDA	Under Component 2: Investment Support (RNS Subcomponent), completing the activities at four power plant sites was challenging due to delays in the delivery of key equipment and transformers.	<p><u>Actions Taken</u></p> <p>NPC requested WB for a one-month extension of the closing date of WB-NPC loan agreement to complete the commissioning and payment processes to run concurrently, with careful monitoring and timely support provided by WB to NPC.</p> <p>Since the extension only required an amendment of the WB-NPC grant, no action was needed from the Philippine government as there would be no change in the EU-WB Administration Agreement</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>and EU-Government Financing Agreement.</p> <p>WB, in its letter to NPC dated July 31, 2023, informed NPC that WB has designated August 31, 2023 as the revised closing date that aligns with Section IV.B.2 of Schedule 2 in the Grant Agreement.</p> <p>a. Luzon Bundle (Lots 1, 2 and 3) was dropped due to unsuccessful bidding;</p> <p>b. Visayas Bundle (Lots 4 and 5) was not completed by August 2023, however, the contractor committed to complete the construction beyond August 2023; and</p> <p>c. Mindanao Bundle (Lots 6 and 7) was completed as of August 31, 2023.</p>
Current		
1. Jalaur River Multipurpose Project, Stage II (JRMP II)/ NIA/ KEDCF	Delay in construction due to the negative cash flow of the contractor and corresponding increase in construction cost due to finance charges caused by the delay in payments for price adjustment.	<p><u>Actions taken</u></p> <p>Following the ICC/NEDA Board's approval and confirmation in 2023 of NIA's restructuring requests for JRMP II which includes the necessary increase in the project cost amounting to PHP 8,486.69 million, NIA has been preparing and</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>finalizing documents necessary to pay the Contractor for price escalation.</p> <p>During the NIA Board's meeting on December 18, 2023, the proposed Guidelines and Procedures for Price Adjustment Claims of Foreign-Assisted Infrastructure Projects was presented and the Board instructed the NIA Management TWG to convene and resolve internally the issues on the procedures for price adjustment claims of foreign-assisted projects. The said guidelines was proposed to be signed as a Memorandum Circular which will then be presented to the NIA Board for its notation only.</p> <p><u>Actions to be taken</u> NIA Management TWG to convene and resolve internally the issues on the procedures for price adjustment claims of foreign-assisted projects, as instructed by the NIA Board.</p> <p>Meanwhile, following DBM's instruction as conveyed through a letter dated December 21, 2023 for NIA to use prior years' subsidy to cover additional budget requirement, NIA to coordinate with DBM regarding its request for reconsideration on additional funds</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>under FY 2024 UA amounting to PHP 7,844.28 million (comprising PHP 2,088.72 million under LP and PHP 5,755.56 million under GPH) to cover budgetary requirements until 2025 for payments to the Contractor for price escalation, tax reimbursement, and other project activities.</p> <p><u>Updates</u> Per request of DBM, NIA has submitted a breakdown of the GPH component of the requested funds amounting to PHP 5,755.56 million. DBM will further discuss whether said request can be considered under the FY 2024 UA.</p> <p>As of May 8, 2024, the requested additional budget for the JRMP II is still pending approval by the DBM Management.</p>

Performance of Contractors/ Consultants

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Current		

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
1. Health System Enhancement to Address and Limit COVID-19 (HEAL)/ DOH/ ADB	Contractors fail to secure the necessary equipment and materials due to the limited availability in the project sites/area resulting to insufficient resources for the use of the project, which affected all 15 civil works deliverables of the project.	<p><u>Actions taken</u> The PMT collaborated with the contractors in developing a two-week look ahead plans for proper resource planning.</p> <p><u>Actions to be taken</u> Contractors to develop contingency (resource) plans for unforeseen resource shortages, including the identification of alternative suppliers.</p>
2.	The progress and productivity rates are lower than the set targets for two isolation facilities due to the contractors' non-compliance with structural and occupational safety standards (OSH).	<p><u>Actions taken</u> DOH and the UNOPS Construction Consultant Team are closely monitoring the compliance of the contractors on OSH and further tracking the progress of the contractors.</p> <p>To address the low progress, DOH and UNOPS required the contractors to submit a catch-up plans to improve their performance. Several face-to-face and online meetings were also conducted with the contractors to ensure their commitment to implement the catch-up plans in a timely manner.</p> <p><u>Actions to be taken</u></p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		Contractors to submit the catch-up plans and subsequently report on the effectiveness of the implementation of the plan.
3. Philippines COVID-19 Emergency Response Project (PCERP)/ DOH/ WB	Repeated non-compliance in the requirements for the submission of billings by the contractors remains an issue, affecting both the disbursement and the construction progress.	<p><u>Actions taken</u></p> <p>Memorandum to health care facilities/Centers for Health Development (CHDs) was issued reiterating the submission of billing documents</p> <p>The PMT conducted regional hands-on coaching sessions during the regular site monitoring visits to facilitate the preparation of billing requests</p> <p>The PMT created a one-stop shop mechanism to expedite the review process for all billing documents in the regions during the field visits.</p> <p><u>Actions to be taken</u></p> <p>The PMO will continue the conduct of face-to-face meetings with contractors, recipient facilities, and CHDs to address persisting issues related to civil works and compliance with the requirements for progress billing.</p>
4. Programme for COVID-19 Crisis Response	Demurrage of the delivered ice packed freezers have incurred (delivered in the country in March 2023 and released in May 2023) due to the lack	<p><u>Actions taken</u></p> <p>While the ice packed freezers have been released for use in May 2023, advice was</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Emergency Support (CCRES) / DOH/ JICA	of familiarization of the local broker on the requirements of the Customs to process the release of the equipment.	sought from the DOH Legal Service on who is responsible to pay for the incurred demurrage fee of ice packed freezers. <u>Actions to be taken</u> While the response from DOH Legal Service is pending, training on the use and maintenance of refrigerated vans will be conducted.
5. Programme for Consolidated Rehabilitation of Illegal Drug Users (CARE) Cavite (Rehab Center Site)/ DOH/ JICA	The contract with the contractor engaged for the establishment of the rehabilitation center was terminated in September 2023 due to the negative slippage of 11 percent caused by poor contractor performance.	<u>Actions taken</u> The Steering Committee agreed for CHD IV-A to take over on the project's completion. However, the opening of bids was put on hold until approval from JICA on the decision whether they will continue to fund the project is secured. Approval from JICA is dependent once the COA audit report has been complete. <u>Actions to be taken</u> DOH shall coordinate with JICA on the request for possible grant validity extension and shall identify and prepare the necessary documents to facilitate the extension process.
6. Priority Bridges Crossing Pasig-Marikina River and Manggahan	Delay in the compliance of the contractor with the comments/recommendations on the returned Preliminary Engineering Design plan and reports.	<u>Actions taken</u> DPWH convened a coordination meeting between DPWH PMT and the contractor (China State Construction Engineering

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Floodway (MM3BP)/ DPWH/ China Eximbank			Corporation Limited) to discuss the delay in the compliance. <u>Actions to be taken</u> Continuous coordination with the contractor to ensure its compliance with the comments/recommendations on the plan and reports.
7.	Angat Water Transmission Improvement Project - Additional Financing (Bigte Novaliches Aqueduct) No. 7 (AWTIP-AF-BNAQ7)/ MWSS/ ADB	Delay in the completion of Steel Pipe Fabrication Plant including installation of fabrication equipment is due to the poor performance on the contractor because of their inadequate organization, management, and understanding of local laws, along with language barriers among the management in the Philippines. These issues combined with insufficient planning, poor prioritization, logistical support, contract comprehension, and financial resources, have hindered progress on constructing the three tunnels and establishing a pipe fabrication plant.	<u>Actions taken</u> MWSS, NEDA, DOF, ADB, the consultant (DOHWA Engineering Co., Ltd.), and the contractor (China CSBS Consortium) met on October 13, 2023 to discuss the status of the project. As agreed during the meeting, the contractor shall (a) replace the Project Director with one who can communicate directly to MWSS and DOHWA without the need of an interpreter; (b) if the contractor cannot produce pipe acceptable to MWSS and DOHWA, the contractor shall source the pipe from a local manufacturer; (c) provide reliable equipment and ensure availability of spare parts; (d) replace personnel that are not acceptable to MWSS and DOHWA; (e) deploy personnel/labor qualified to do the job; and (f) increase the number of competent workforce.

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>A follow-up meeting was held on November 13, 2023. The contractor reported that a new project director was deployed to the project on November 7, 2023, and personnel not qualified /acceptable to DOWHA and MWSS were removed. The contractor also presented details of five local pipe manufacturers. MWSS also informed that it will submit a project restructuring request for ICC approval once the catch-up plan is finalized.</p> <p>As of end 2023, the contractor engaged with local pipe fabricators to produce pipes, such as LRC and Cathay, which proceeded with the rolling of pipes.</p> <p><u>Actions to be taken</u> MWSS and DOHWA to closely monitor the contractor's performance by conducting regular meetings.</p> <p><u>Updates</u> As of January 2024, the steel pipes from local fabricators have been completed and undergone a series of testing and accepted by the consultant. Some of the pipes were delivered to the project site for pipe laying.</p>
8. North-South Commuter	Prolonged review and approval of parcellary plans and drafting of offer for lot acquisition due	<u>Actions taken</u>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Railway Extension Project – Malolos- Clark Railway Project (NSCR- MCRP)/ DOTr/ JICA and ADB	to delays from the consultant and subconsultant in submitting outputs that meet set standards.	<p>DOTr instructed the consultants to submit the parcellary plan per level of prioritization for ROW acquisition, and per cluster.</p> <p>As of December 2023, DOTr has paid for eight properties with a total area of 21,800 sq. meters.</p> <p><u>Actions to be taken</u> The consultant to proceed with preparing and submitting the plans, as instructed.</p>
9. Philippines Multisectoral Nutrition Project (PMNP)/ DOH/ WB	UNOPS has not initiated follow-through activities following the agreed milestones in the contract, specific to the procurement of ICT equipment and supplies for the national and regional staff and the project visibility deliverables. UNOPS likewise did not provide graphics services for the development of the project collateral, including the flexibility in adjusting specifications on project collaterals to improve project branding.	<p><u>Actions taken</u> The PMO made frequent follow-ups with UNOPS and has provided updates to the November 2023 version of the TOR.</p> <p>As to the collaterals, the PMO negotiated with UNOPS to adjust the specifications. However, no flexibility was still provided despite requests for leeway during contract negotiations with UNOPS.</p> <p><u>Actions to be taken</u> National PMO (NPMO) convened with the DOH Health Promotion Bureau to finalize the TOR for procurement by December 2023.</p> <p>NPMO to secure the commitment of UNOPS to provide graphics design services in the</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		future with relevant visibility services, and request for flexibility with tight deadlines.
10 Philippines Multisectoral Nutrition Project (PMNP)/ DOH / WB	UNOPS has not provided the list of project management and technical counterparts provided in the contract.	<p><u>Actions taken</u> NPMO has requested the list of counterparts from UNOPS for better communication and efficient implementation.</p> <p><u>Actions to be taken</u> NPMO to continue coordinating with UNOPS and establish regular meetings with UNOPS.</p>

Project Management Office (PMO) Manpower/ Capacity

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Resolved		
1. Philippine Multisectoral Nutrition Project (PMNP)/ DSWD/WB	The project experienced delays in the compliance with loan covenants and procurement of goods and services due to the insufficient qualified applicants to fill the vacant positions in the NPMO.	<p><u>Actions taken</u> The NPMO continuously reposted vacancies for the project team leader and social safeguards monitoring officer in various job advertising websites and online platforms. Anticipated vacancies were also posted in advance in the different job portals. Proper turnover of accountabilities and knowledge transfer were also ensured through the Turn-over Checklist.</p> <p><u>Actions to be taken</u> The project team will continuously build its staff pool and strengthen its linkages with national and local institutions through various online platforms.</p>
2.	Limited capacity of the DSWD regional PMUs to assess the technical design and feasibility of subprojects for construction of Water Access Sanitation, and Hygiene (WASH) facilities developed by the LGUs and community volunteers. The design of the WASH facilities in the said subproject (SP) proposals may be substandard, unsafe, and/or incapable of	<p><u>Actions taken</u> The National PMU is processing the requirements for the hiring of eight WASH specialists to be deployed in concerned regional PMUs to assess the draft subproject proposals prior to its implementation.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
	delivering the services at anticipated cost and specified level of service.	<u>Actions to be taken</u> DSWD is exploring partnerships with other technical service providers and with the Manila Water Foundation, Inc. for the conduct of seminar and training for concerned PMNP staff to ensure quality design and maintenance of WASH facilities.
3. Forestland Management Project (FMP)/ DENR/ JICA	Limited manpower conducting field inspection and validation teams resulted in the slow validation of the established plantations and Maintenance and Protection which further delayed the preparation of billing documents for payments for the POs which require the inspection report and billing documents prepared by the field validation teams.	<u>Actions Taken</u> Additional inspection and validation teams were formed at the Provincial Environment and Natural Resources Office (PENRO) level. DENR also mobilized personnel from other offices to join the inspection and validation teams. Further, PO- and Subproject Site Management Office (SUSIMO)-level internal inspections/validations were conducted to ensure the quality/veracity of accomplishment reports to minimize re-validation by the inspection and validation teams. In addition, DENR undertook the following: (a) hiring of short-term Contract of Service from project savings to augment project manpower; (b) conduct of frequent field monitoring and provision of assistance by the CPMO and FASPS; and (c)

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
			mobilization of PENRO personnel, particularly its accounting unit.
Current			
1.	Water District Development Sector Project (WDDSP) / LWUA/ADB	Since April 2021, there is a lack of consultant support for project management, implementation, monitoring and social and environmental safeguards.	<p><u>Actions Taken</u> LWUA included the hiring of additional consultants in its APP for CY 2024.</p> <p><u>Actions to be taken</u> LWUA to revise the CY 2024 APP and procure services of Project Management and Implementation Consultants, and secure approval of the APP by the LWUA BOT.</p>
2.	Philippine Mutisectoral Nutrition Project (PMNP)/ DOH/ WB	The PMNP PMU experienced difficulty in hiring competent and qualified project officers and field monitoring staff positions at the regional PMUs, which are mostly located in geographically isolated and disadvantaged areas.	<p><u>Actions taken</u> The PMU continuously reposted vacancies for project officer and field monitoring staff positions in various job advertising websites and platforms to expand the pool of potential staff applicants for the project.</p> <p><u>Actions to be taken</u> The PMU will also post anticipated vacancies in the different job portals and platforms to ensure timely hiring of project staff. Moreover, proper turnover of accountabilities and knowledge transfer</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		from the outgoing staff to the incoming new hires will be fulfilled through the turnover checklist developed by the PMU.
3. Support for Parcelization of Lands for Individual Titling (SPLIT)/ DAR/ WB	Data between the interim Certificates of Land Ownership Award (CLOA) document management system (DMS) and the Kobo ⁵ used to develop digital forms for field validation activities are not harmonized. Further, the Field Validation Team (FVTs) are having difficulties in encoding data through the digital forms upon recent updates to the Kobo Toolbox platform, i.e., certain features from the software were removed, resulting in additional steps to locate and verify the digital forms.	<p>Actions taken</p> <p>Streamlining of the FVT's workflow is being proposed to enhance the efficiency and accuracy in the data collection and management processes by automatically populating information, thereby reducing manual data entry errors during data collection exercises.</p> <p>Actions to be taken</p> <p>DAR to facilitate the pilot testing and cascading of the proposed streamlining of workflow through the pre-population of data using the harmonized digital forms.</p> <p>In addition, DAR PMOs to continue to practice data cleansing to improve data quality and help provide accurate and reliable datasets for decision-making in project implementation.</p>

⁵ an open source software for collecting, managing, analyzing, and visualizing data for surveys, monitoring, evaluation, and research

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
4. Fisheries and Coastal Resiliency (FishCORE)/ DA/ WB	Lack of applicants for highly technical positions (i.e., Senior Procurement Specialist and Procurement Specialist) due to the low salary rate.	<p><u>Actions taken</u> Continuous posting of the vacancies for the mentioned positions was conducted</p> <p><u>Actions to be taken</u> DA-Bureau of Fisheries and Aquatic Resources (DA-BFAR) to request the DA management to increase the salary rate.</p>
5.	<p>Delayed procurement activities due to the support staff's lack of familiarity with the World Bank's procurement guidelines and end users' delayed submission of documentary requirements.</p> <p>The absence of a final/approved procurement manual also contributed to difficulties in the project's implementation.</p>	<p><u>Actions taken</u> Preparations for a series of training and workshops on WB's procurement guidelines are underway. A procurement manual is also currently being finalized which will serve as a guidepost in the conduct of procurement activities.</p> <p><u>Actions to be taken</u> DA-BFAR to conduct training workshops on WB's procurement guidelines for the SBAC members and its secretariat.</p>
6. Local Governance Reform Project (LGRP)/ BLGF/ ADB	Insufficient workforce capacity within both the Central and Regional offices of BLGF significantly contributed to delays in meeting project targets. Efforts to address this issue have been initiated, notably through the expedited procurement of FATS. Contract negotiations were successfully concluded by mid-December 2023, with the onboarding of required personnel	<p><u>Actions taken</u> The project structure was reorganized to involve more technical staff in project implementation, and team leaders were reassigned. Specifically, the procurement team underwent reorganization, led by an individual with a procurement background and prior involvement in BLGF projects.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
	targeted for completion by the second quarter of 2024.	<p>Furthermore, to expedite project activities, technical support staff from BLGF Regional Offices were mobilized, with collaboration from all regional directors, to facilitate activities in both pilot and non-pilot LGUs.</p> <p>Simultaneously, the Bureau is intensifying efforts to augment its workforce by accelerating the hiring of both permanent and temporary staff. This strategic approach aligns with management directives to engage organic personnel capable of providing essential support to pilot LGUs under the project. Consequently, the skills and proficiencies acquired by organic personnel throughout project implementation would invariably enrich their career development and contribute to the sustainability of reforms.</p>

Institutional Support

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Resolved		

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
1. Biodiversity Finance Initiative- Phase 2 (BIOFIN- Phase 2) / DENR/ EU, Germany, Switzerland, Flanders, Norway, Canada, UK	Difficulty working in Negros Oriental due to change in leadership (provincial level). The project may have to re-align allocated budgets to other finance solutions which may lead to non-100% delivery for this finance solution.	<u>Actions taken</u> Governor Manuel Sagarbarria took his oath on May 31, 2023. A courtesy call with the Provincial Administrator was done on July 4, 2023, and MOU has been signed with the new Governor.
2. Kapit-Bisig Laban sa Kahirapan- Comprehensive and Integrated Delivery of Social Services National Community-Driven Development Program Additional Financing (KC-NCDDP AF)/ DSWD/ WB	The resignation of 337 barangay officials who will run for the 2023 Barangay and Sangguniang Kabataan Elections (BSKE) resulted in delays in the coordination and approval of the community subprojects in 15 KC AF-regions.	<u>Actions taken</u> The KC NPMO issued guidelines, providing for the (a) procedures to facilitate the signing/approval of subproject proposals, (b) communication/coordination protocols, and (c) strategies to ensure seamless implementation of the KC subprojects during the election period. <u>Actions to be taken</u> If the approval of subproject implementation heavily depends on LGUs' support, with the context of changes in leadership/conduct of national/local elections, the NPMOs and Regional PMOs need to (a) assess the various bottlenecks caused by changes in leadership at the local level; (b) promptly issue guidelines to streamline approval processes in adherence to the guidelines prescribed by the COMELEC; and (c)

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
			continuously provide assistance/ guidance to the community.
3.	8th Country Programme of Cooperation (CPC8)/ DOH/ UNICEF	Major changes in leadership/organizational structure affecting the development of priorities of the CPC8 period.	<p><u>Actions taken</u></p> <p>Regular review and approval of workplans and priorities as cleared by technical/program officers to identify which activities were prioritized/continued even during emergencies.</p> <p>DOH and UNICEF to integrate response interventions and incorporate preparedness and resiliency into system strengthening for UHC.</p>
4.	Technical Capacity Support to the Agri-Industrial Business Corridors (Support to ABCs)/ DAR/ FAO	While the project's outcomes on the implementers' familiarity with ABCs and training on agri-business management and entrepreneurship, the project's outcome on implementers trained in RuralInvest was not achieved since DA's Sustainable Agriculture and Fishery Enterprises (SAFE) Innovation Hub (Innohub) was not yet operational given that the Department's focus is on the identification of the ABCs and operational planning for Sual SAFE Innohub. Meanwhile, DAR's Bureau of Agrarian Reform Beneficiaries Development (BARBD), which had recently undertaken RuralInvest training through another FAO project, also declined to avail of said capacity development.	<p><u>Actions taken</u></p> <p>The focus of the capacity building program transitioned to identifying the ABC where the pilot SAFE Innovation Hub is located, i.e., in Sual, Pangasinan, and in the preparation of an Operations Manual for Sual SAFE Innohub. Further, the capacity development was designed as a series of lecture-workshops where the participants themselves were involved in defining and delineating the ABC.</p> <p>DAR and DA to explore facilitating follow-up technical assistance initiatives to promote capacity development for agricultural industrialization and innovation through</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		multi-agency collaboration, such as with DTI and DOST, which both have existing initiatives in business and technology incubation and innovation.
Current		
1. Development for Renewable Energy Applications Mainstreaming and Market Sustainability (DREAMS) /DOE/ UNDP	One Electric Cooperative is causing delay in transfer of the Renewable Energy assets, which may jeopardize the system stability and maintenance.	<p><u>Actions taken</u></p> <p>The papers are already with the Palawan Electric Cooperative (PALECO). They claimed that some batteries require replacement. UNDP asked for the exact location owner and serial number or batteries, but they have not acted. The asset transfer documents were given to them over six months ago. The GM has been informed of the inaction of their local staff and requested it be given their attention. Their delayed action has caused the ration of the warranty.</p> <p><u>Actions to be taken</u></p> <p>UNDP to call the attention of the National Electrification Administration (Office of the Administrator) regarding PALECO's delayed actions and the resulting expiration of the batteries' warranty.</p>
2. Project for Strengthening the	Identification of Project Director was a challenge due to the recent re-organization of the DOH.	<u>Actions taken</u>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Philippine National Health Laboratory Network for Infectious Diseases (PHeLNIDs) /DOH/ JICA		Said issue prolonged the delegation of Project Director to the appropriate DOH Official.	Transmitted a Memorandum to the Public Health Service Team (former unit in DOH) for the delegation/assignment of the Project Director.
			<u>Actions to be taken</u> A Department Personnel Order shall be issued for the Project Director. Further, a briefing to the new principals will be conducted due to the recent reorganization of DOH.
3. Metro Bridges (MMBP)/ ADB	Manila Project DPWH/	Withdrawal of the support of the Marikina City LGU for the project.	<u>Actions taken</u> DPWH, through a letter dated November 13, 2023, requested DOF to facilitate the realignment of the ADB loan for the MMBP in favor of other infrastructure flagship projects of the Department. Meetings were held among DPWH, NEDA, DOF and ADB on November 28, 2023 and December 15, 2023 to discuss next steps for the project. DOF suggested that the matter be taken up in the ICC. DPWH, through a letter dated March 7, 2024, submitted an official request for the full cancellation of the loan for the project.

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>NEDA, through a letter dated April 12, 2024, transmitted its comments/recommendations on the request of DPWH.</p> <p><u>Actions to be taken</u> DPWH to closely coordinate with the ICC Secretariat to facilitate processing of the request for full cancellation of the loan.</p>
4. Priority Bridges Crossing Pasig-Marikina River and Manggahan Floodway (MM3BP)/ DPWH/ China Eximbank	For CP 2 (Palanca-Villegas Bridge), the Local Interagency Committee in the Office of the Mayor of Manila City for the bridge project is yet to be activated, pending issuance of an executive order.	<p>Actions taken DPWH has followed up with the Mayor's office on the status of the issuance of the executive order.</p> <p>Actions to be taken Continuous coordination with the Manila Mayor's Office.</p>
5. Philippine Multisectoral Nutrition Project (PMNP)/ DSWD/ WB	Delays in the approval of and download of funds to 1,270 PMNP subprojects were encountered due to the conduct of Barangay elections in 2023. The elections affected political dynamics of the communities, which necessitated the reengagement of local officials. Moreover, the approval of documentary requirements for the subprojects was delayed given the changes in signatories and new policies (ordinances and executive orders) at the national and local levels.	<p><u>Actions taken</u> The PMNP National PMU issued guidelines to streamline the approval process for subprojects that were affected by the local elections. To facilitate the release of funds, the PMNP field offices submitted to the National PMU, the List of Due and Demandable Accounts Payables (LDDAP) based on the needs assessment of the affected subprojects. The NPMO also</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		<p>prepared its request to DBM for the issuance of a Notice of Cash Allocation.</p> <p><u>Actions to be taken.</u> National and Regional PMUs shall continuously monitor the release of funds to the LGUs and the progress of implementation of the affected subprojects.</p>
6. Beneficiary FIRST Social Protection Project (BFIRST C1)/ DSWD/ WB	Based on the loan agreements, the PBCs 5 (Strategy for the Standardized Targeting System) and 6 (Digital Transformation) entail strategy formulation, adoption, and implementation. As such, these processes require more time for coordination within the DSWD Offices, Bureaus, Services and Units (OBSUs) and FOs, as well as with other NGAs and LGUs for the review and development of business processes and systems.	<p><u>Actions taken</u> The BFIRST PMU prepared an action plan to facilitate coordination with DSWD National and Regional Offices, IAs (PSA and DICT), and LGUs to resolve bottlenecks in meeting PBCs 5 and 6, which would entail extension of the program's implementation period.</p> <p><u>Actions to be taken</u> The BFIRST PMU proposed to include a one-year no cost extension of the loan validity and implementation period of the program loan in its restructuring request to the DBCC by the first semester of 2024.</p> <p>DSWD to coordinate with the DBCC Secretariat on the requirements and process for program restructuring and to</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		ensure timely submission of its request with complete and compliant documents.
7. Capacity Building to Foster Competition Project (CBFCP)/ PCC/ ADB	Delayed submission of nominees for the 2023 intake of scholars and incomplete documentation, especially of the re-entry action plans affected the workflow for processing and releasing of entitlements. Documents such as tripartite scholarship contracts necessitated drafting, review, and approval, contributing to the disruption in workflow.	<u>Actions to be taken</u> PCC is working towards streamlining documentation processes, including establishing the necessary committees in the selection processes, adjusting timelines/conducting early processing procedures for selection and awards of scholarship grants, and creating templates for re-entry action plans.
8. Biodiversity Finance Initiative-Phase 2 (BIOFIN-Phase 2) / DENR/ EU, Germany, Switzerland, Flanders, Norway, Canada, UK	Delayed presentation of the study to DA officials due to change in DA management and focal towards the end of 2023 to identify entry points for further work on subsidies anchoring on the Joint Agreement Order 2021-01 on biodiversity-friendly agricultural practices has been met.	<u>Actions taken</u> N/A <u>Updates</u> The dialogue between BIOFIN and DA started on April 12, 2024 on the formulation of target and indicators for the Philippine Biodiversity Strategy and Action Plan (PBSAP) based on the Kunming-Montreal Global Biodiversity Framework (KM-GBF).

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Legal and Policy Issuances

Project Title/ IA/ Fund Source		Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Resolved			
1.	Access to Sustainable Energy in the Philippines (ASEP)/ DOE/ EU, WB, and SIDA	The Prepaid Metering (PPM) sub-component, under Component 2: Investment Support, is proposed to be dropped due to the provision in Energy Regulatory Commission (ERC) Resolution No. 15, s. of 2009 allowing consumers to revert to postpaid metering. This had a detrimental impact on the sustainability and replication of the proposed PPM pilot. Because of this, the installation of 1,000 prepaid meters might not be realized.	<u>Actions taken</u> This component will not be carried out due to the lack of robust regulatory support for replication, a conclusion made after further discussions between NPC and the ERC.
2.	Second Health Enhancement to Address and Limit COVID-19 (HEAL 2)/ DOH/ ADB and AIIB	No more procurement of vaccines due to (a) current supply and vaccination uptake; and (b) expiration of RA 11525 ⁶ given the non-extension of the period of state of calamity.	<u>Actions taken</u> DOH, in a letter dated February 27, 2023, requested NEDA to facilitate the cancellation of the remaining undisbursed loan amount of the project amounting to USD 10.93 million. NEDA endorsed the cancellation request to DOF in a letter dated May 23, 2023. DOF endorsed the request to ADB and AIIB in August 2023, which was subsequently

⁶ An Act Establishing the Coronavirus Disease 2019 (COVID-19) Vaccination Program Expediting the Vaccine Procurement and Administration Process, Providing Funds Therefor, and for Other Purposes (February 26, 2022)

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		confirmed by AIIB and ADB on August 11 and 14, 2023, respectively.
		DOH and PMT will continue to monitor the utilization of the HEAL-2 procured vaccines and regularly monitor the demand for vaccine against the supply.
3. Second Health Enhancement to Address and Limit COVID-19 Additional Financing 2 (HEAL 2-AF)/ DOH/ ADB and AIIB	No more procurement of vaccines due to (a) current supply and vaccination uptake; and (b) expiration of RA 11525 given the non-extension of the period of state of calamity.	<u>Actions taken</u> On July 7, 2023, DOH submitted its request for the full cancellation of the loan. On October 5, 2023, the ICC-CC approved the HEAL 2-AF full loan cancellation. <u>Updates</u> ADB and AIIB approved said cancellation through letters dated January 4 and 10, 2024, respectively.
4. Philippines COVID-19 Emergency Response Project (PCERP AF)/ DOH/ WB	No more procurement of vaccines due to (a) current supply and vaccination uptake; and (b) expiration of RA 11525 given the non-extension of the period of state of calamity.	<u>Actions taken</u> DOH, in a letter dated November 25, 2022 requested the (a) use of available unutilized funds from PCERP AF amounting to PHP 890.27 million to finance activities related to strengthening vaccine administration, vaccine demand generation, vaccine logistics and cold chain support, vaccination monitoring, COVID-19 diagnostics and management, and COVID-19 epidemiological readiness and

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
		surveillance including BARMM areas; and (b) one-year loan validity extensions for PCERP, PCERP AF and PCERP AF 2.
		On June 26, 2023, the ICC approved that out of the PHP 890.27 million unutilized funds, only PHP 149.37 million will be used for COVID-19 support activities under PCERP, while the remaining PHP 740.90 million from the vaccine procurement component will be cancelled.
		Said extension and partial cancellation was endorsed by DOF to WB through a letter dated September 14, 2023. WB conveyed its approval through a letter to DOF dated October 27, 2023.
		DOH and PMT to continue monitoring the utilization of the PCERP AF-procured vaccines
Current		
1. Technical support on the enhancement of the existing	A mandatory requirement by the National Privacy Commission to conduct a Data Privacy Impact Assessment (DPIA) before commencing the collection of personal data was set.	<u>Actions taken</u> DAR secured guidance from FAO regarding the privacy impact assessment process.
		<u>Actions to be taken</u>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
information system for agrarian reform beneficiaries in the Philippines (Kumustasaka)/ DAR / FAO		<p>DAR to undertake a DPIA prior to the collection of personal data.</p> <p><u>Updates</u> On March 14-15, 2024, the Kumustasaka Project Privacy Impact Assessment and Data Governance Workshop was conducted with the participation of select ARBs, enumerators, DAR regional and provincial staff, and members of the Project TWG, led by the National Project Coordinator who was designated as the Compliance Officer for Privacy for the project. The workshop identified and assessed privacy risks and control measures in the conduct of ARB data collection and ensured Kumustasaka's compliance to Data Privacy Act of 2012.</p> <p>The Kumustasaka Information Management System was already registered with the National Privacy Commission and was granted a validity period until April 12, 2025.</p>
2. Integrated Approach in the Management of Major Biodiversity Corridors in the	Delayed engagement to mining companies in view of compliance to UNDP's internal process of private sector due diligence.	<p><u>Actions taken</u> Initial coordination with the identified mining companies was facilitated. Due diligence analysis/assessment among the ten mining companies in Eastern Mindanao Biodiversity</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Philippines Project (BD- Corridor Project)/ DENR/ GEF		<p>Corridor, through the hired Private Sector Due Diligence Specialist, was also conducted.</p> <p>UNDP updated its due diligence process and clearly defined the coverage of "private sector" as well as elevated the concern to DENR and UNDP/GEF executives.</p> <p><u>Actions to be taken</u> To finalize the due diligence report as basis for the succeeding activities to be conducted with the mining companies.</p> <p>UNDP to finalize the updated due diligence process for guide of all affected-projects.</p>

Sustainability and Operations and Maintenance (O&M)

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Resolved		
1. Better Life for Out-of-School Girls in Tacloban City to Fight Against	Lack of operational funds for the completed Girls Education Center.	<p><u>Actions taken</u> DepEd-Bureau of Alternative Education has allotted an amount of PHP 4.3 million budget for CY 2023 to support operations.</p>

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Poverty and Injustice in the Philippines (Better Life)/ DepEd / KOICA		<u>Actions to be taken</u> DepEd to assess if the allocated budget for CY 2023 is sufficient or if there is need to allocate additional funds for CY 2024.

Force Majeure

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
Resolved		
1. Technical support on the enhancement of the existing information system for agrarian reform beneficiaries in the Philippines (Kumustasaka)/ DAR/ FAO	Kumustasaka activities, particularly the consultation workshop on the development of ARB information system with the LGUs was held in abeyance due to the barangay election held in October 2023.	<u>Actions taken</u> FAO and DAR agreed to defer the conduct of affected activities to a later dated i.e., November 14, 2023.
2. Secondary Education Support Program	There are unmet sub-DLIs due to limited face-to-face classes which affected the results dissemination of the National Achievement Test (NAT) for Grade 10 and 12 and the improvement	<u>Actions taken</u> DepEd, on September 25, 2023, requested the DBCC for a two-year program implementation extension, from December 31, 2023 to December 31, 2025 and a two-year loan

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
(SESP)/ DepEd/ ADB	of the mean percentage scores of secondary education students in NAT.	validity extension, from June 30, 2024 to June 30, 2026. ADB approved the request on February 5, 2024. <u>Actions to be taken</u> DepEd to regularly monitor on the remaining DLIs to ensure delivery by the target dates, and regular preparation of reports.
3. The Project for the Establishment of the One Health Prevention and Treatment Network Model for the Elimination of Rabies in the Philippines (JAPOHR) / DOH /JICA	<p>Public health outbreaks (measles, swine fever and avian influenza) hampered the project implementation.</p> <p>The 2019 measles outbreak in Bulacan made it difficult for the provincial health office to be involved in the project. Meanwhile, the 2020 outbreaks of African Swine Fever (ASF) and avian influenza reduced the provincial veterinary office`s participation in the project.</p> <p>Moreover, the DA-BAI`s budget for rabies was affected as budget was refocus to ASF response.</p> <p>Many LGUs were also unable to conduct mass anti-rabies vaccination in 2020 and 2022 due to the COVID-19 situations.</p>	<p><u>Actions taken</u> Even under the outbreak situation, the project was still implemented/facilitated by utilizing online meetings and activities.</p>
Current		

CY 2023 ODA Portfolio Review

List of Projects with Issues vis-à-vis Actions Taken or to be Taken

Project Title/ IA/ Fund Source	Issue Details (Root Cause)	Actions Taken/ Actions to be Taken (Timeline)/ Updates
1. Reconstruction and Development Plan for Greater Marawi-Stage 2 (Loan title: Emergency Assistance for Reconstruction and Recovery of Marawi-Component 2) [RDPGM2]/ DPWH/ ADB	Announcement of a "red alert" following the bombing incident in Marawi State University, Marawi City on December 3, 2023	<u>Actions taken</u> The contractors suspended civil works starting on December 4, 2023. DPWH interposed no objection to the work suspension. <u>Actions to be taken</u> DPWH to lift work suspension upon receiving clearance from DILG and PNP.